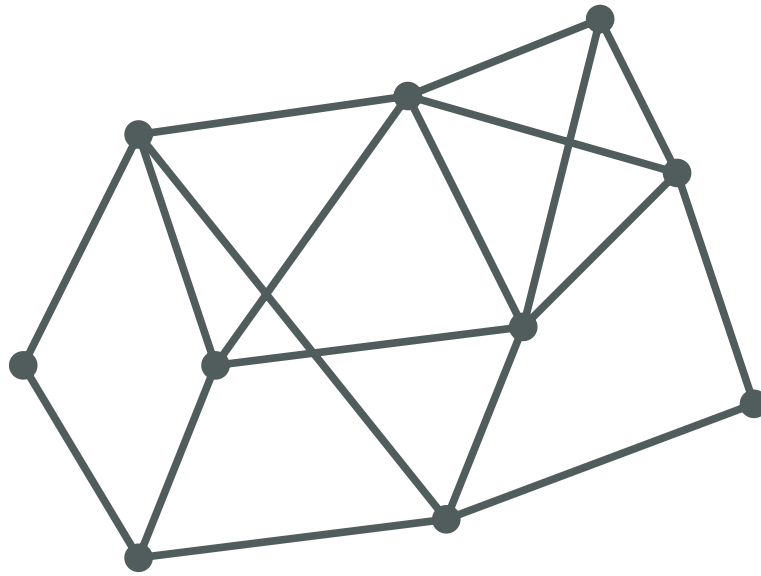


Graph Coloring & Independent Set

Graph Coloring



Goal: color vertices so that no adjacent vertices have the same color, w/ minimum # of colors.

decision version: does k colors suffice?

In "k-coloring problem", k is a fixed constant

Theorem: 3-coloring is NP-hard.

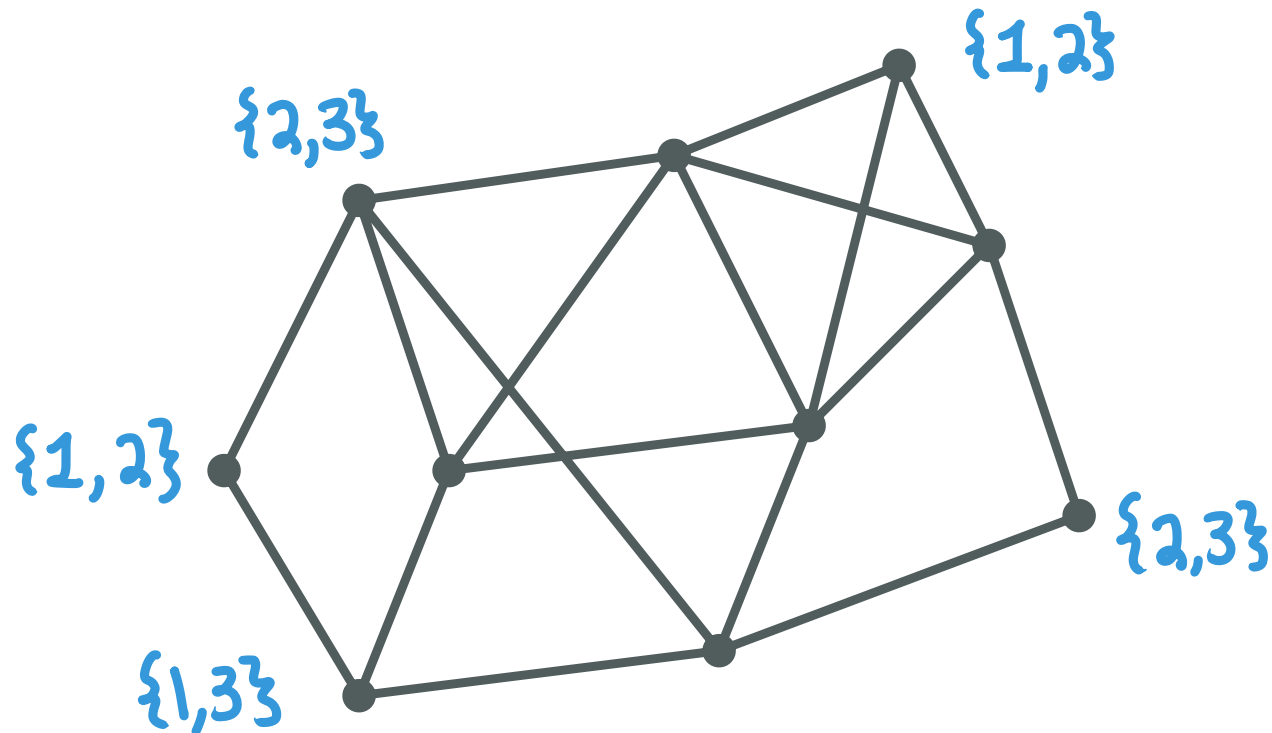
Theorem: 3-coloring is NP-hard.

High-level approach:

3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

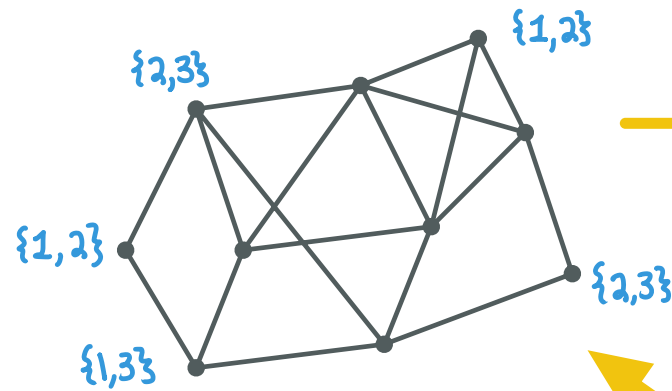
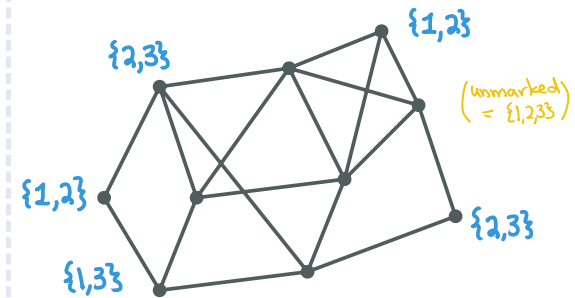


(unmarked)
= $\{1, 2, 3\}$

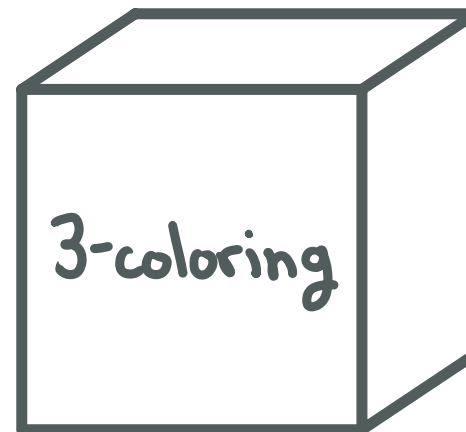
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .



Yes/no



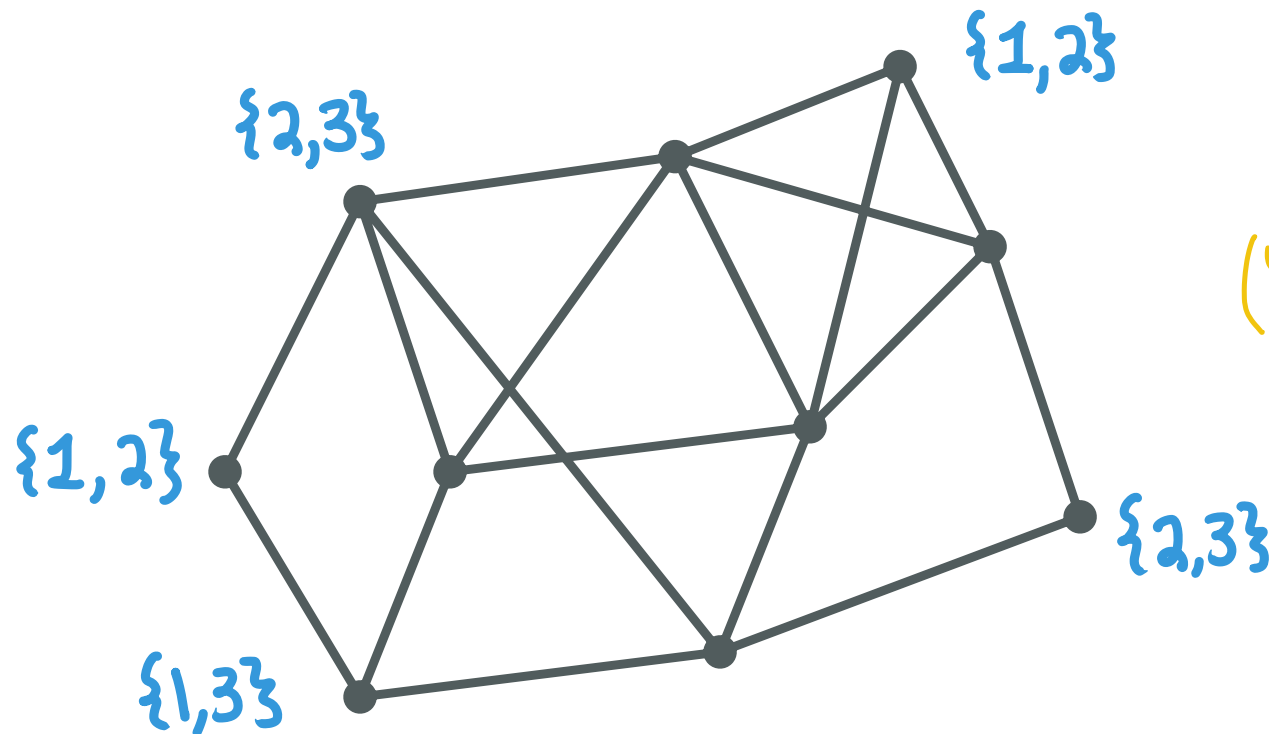
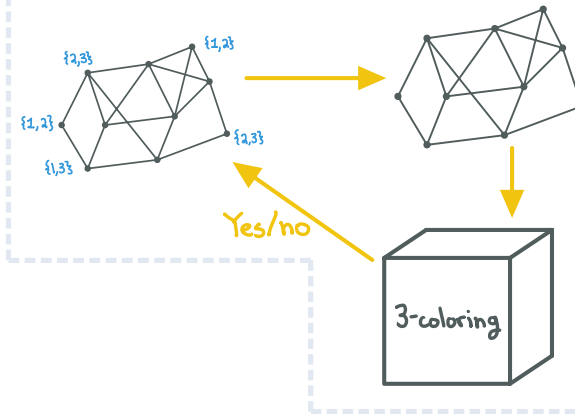
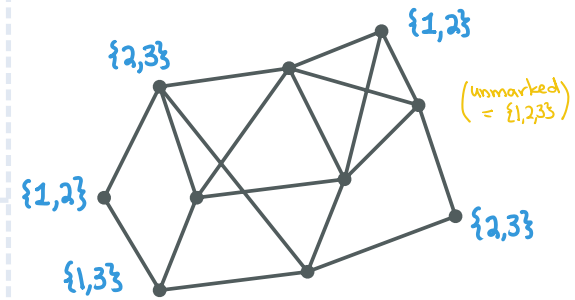
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

ideas?

Subset 3-coloring

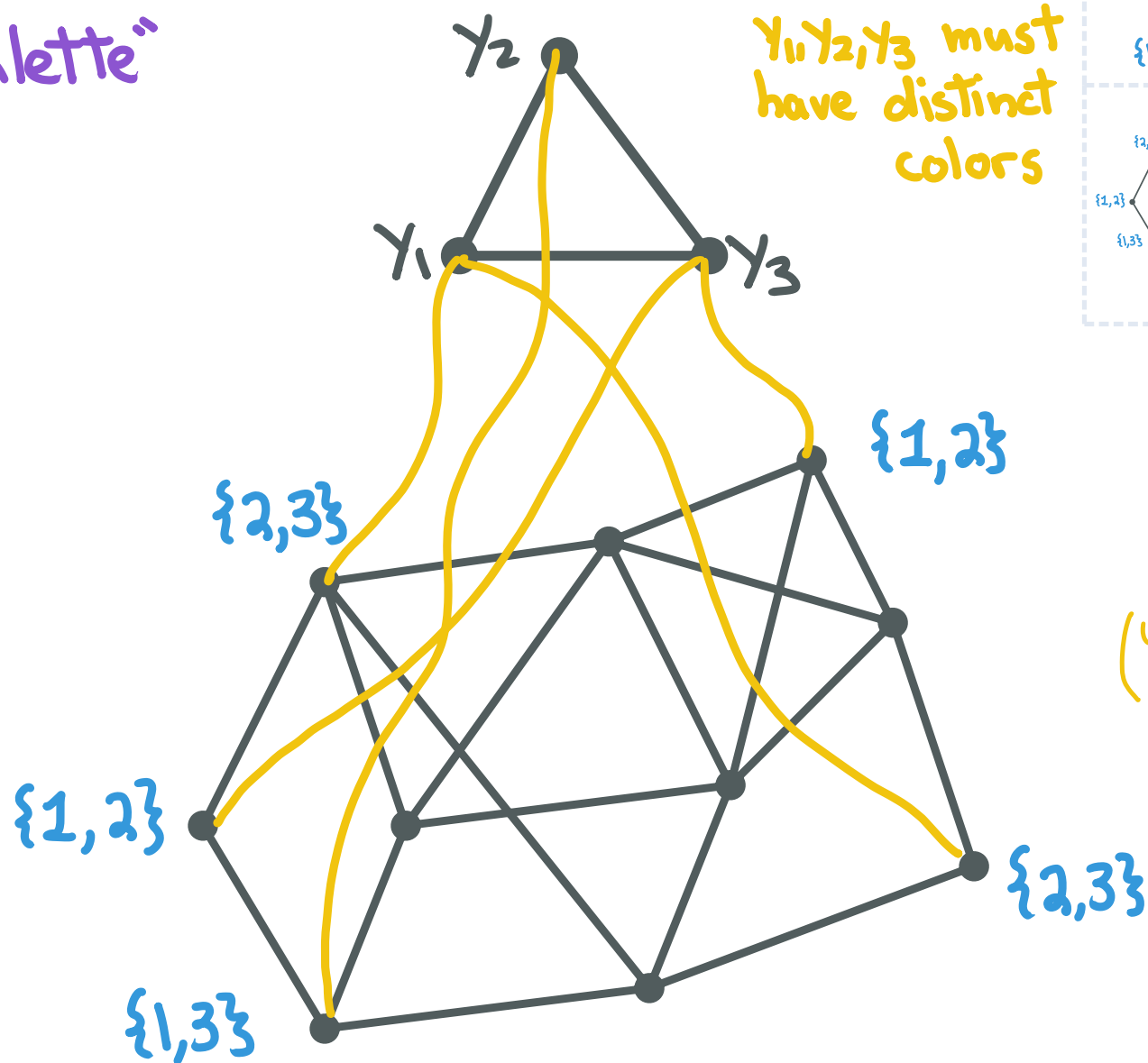
for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .



3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

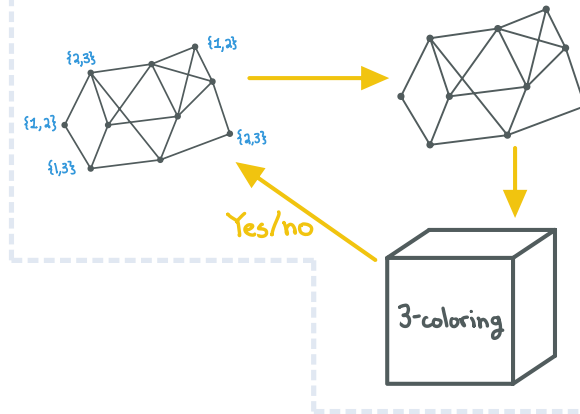
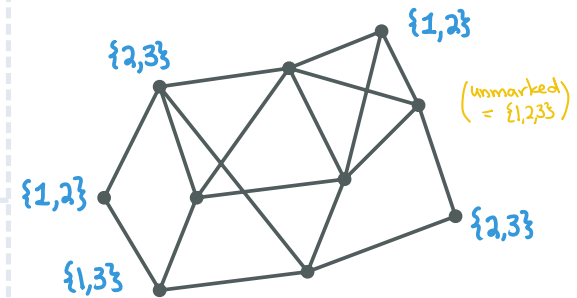
claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

"color palette"



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1,2,3\}$
of colors allowed for v .



(unmarked)
 $= \{1,2,3\}$

3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

claim: polytime algo for 3-coloring implies
polytime algo for subset 3-coloring.

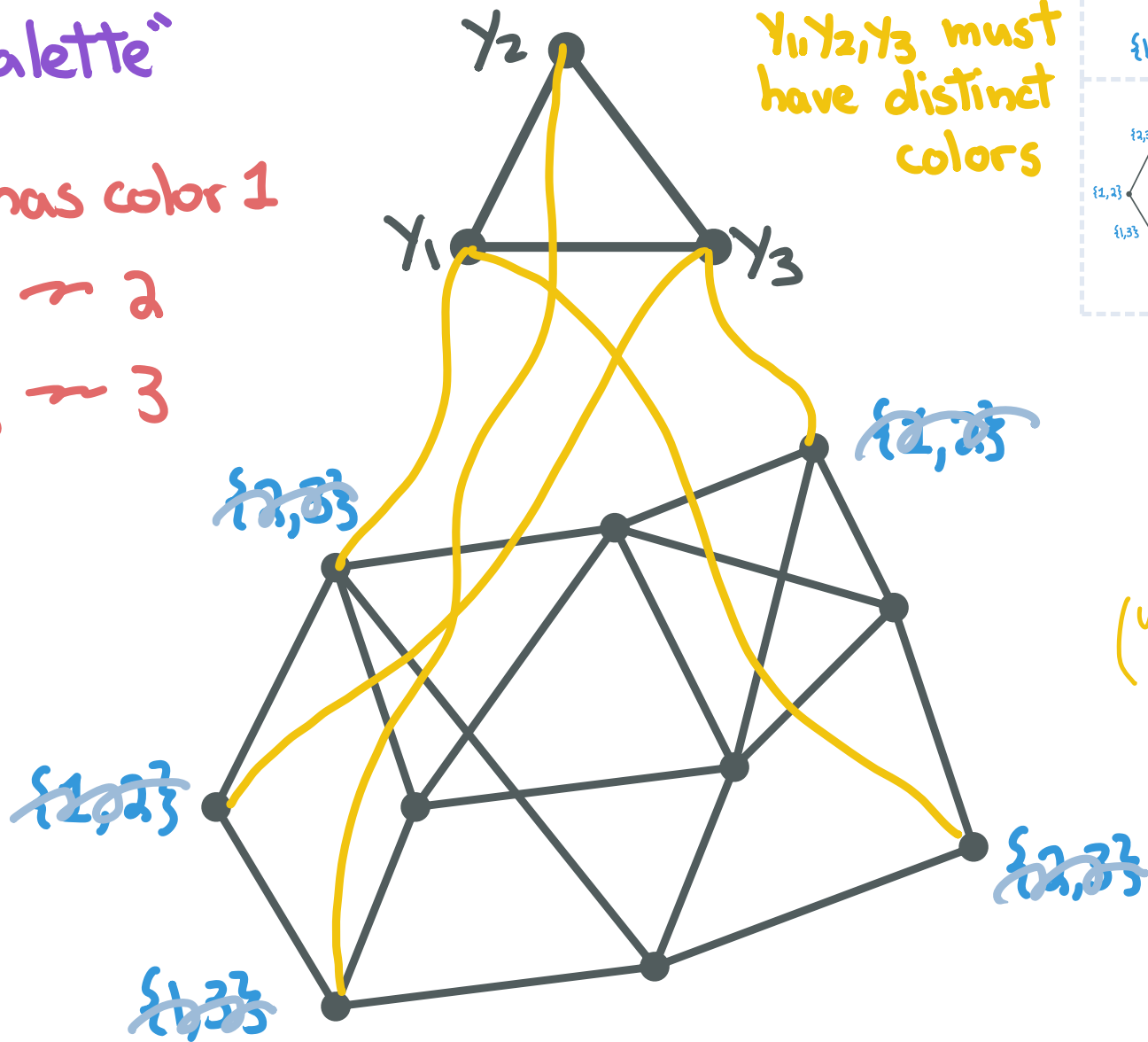
"color palette"

wlog γ_1 has color 1

$\gamma_2 \rightsquigarrow 2$

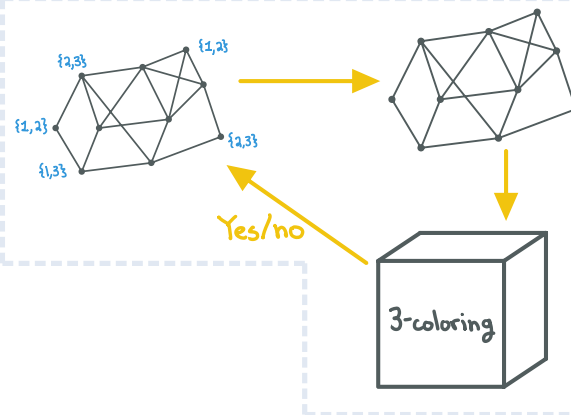
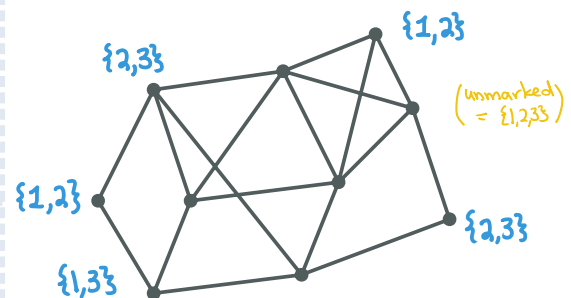
$\gamma_3 \rightsquigarrow 3$

$\gamma_1, \gamma_2, \gamma_3$ must
have distinct
colors



Subset 3-coloring

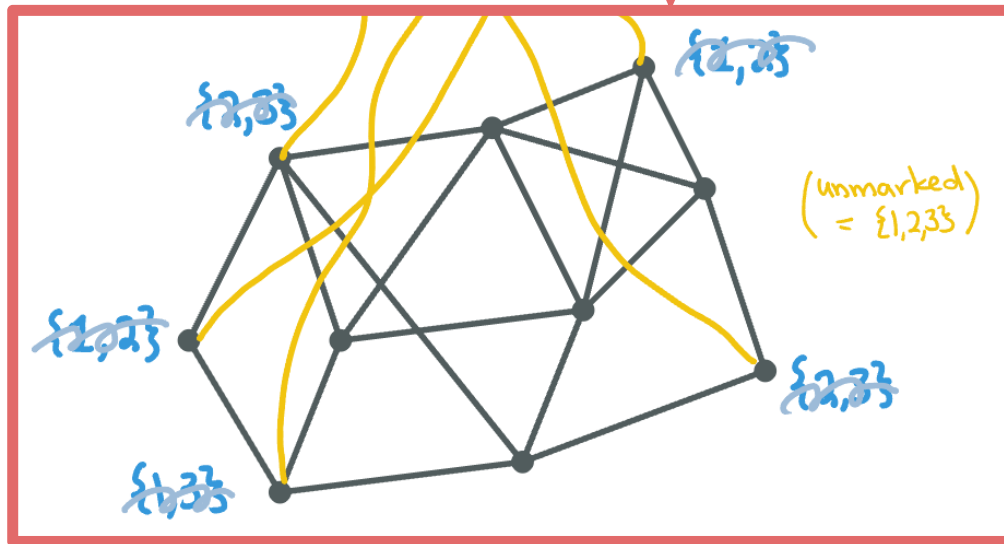
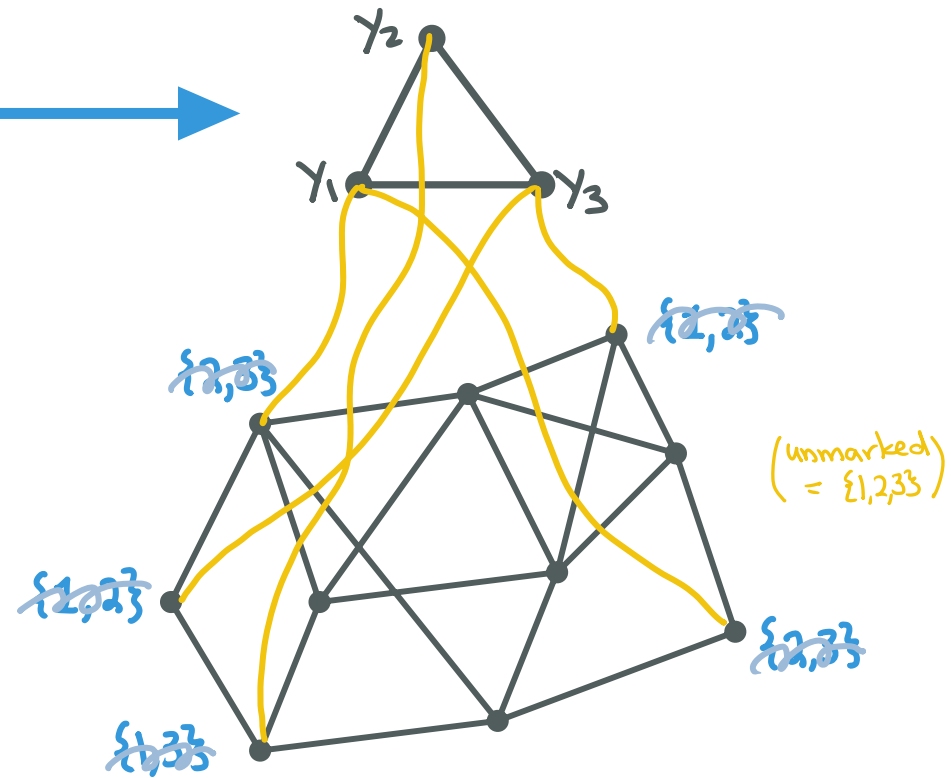
for each vertex v , subset $S_v \subseteq \{1,2,3\}$
of colors allowed for v .



(unmarked)
= $\{1,2,3\}$

3-coloring on

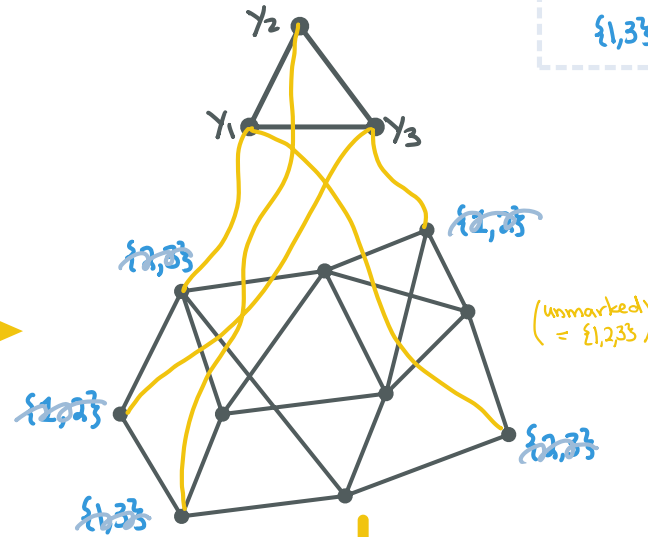
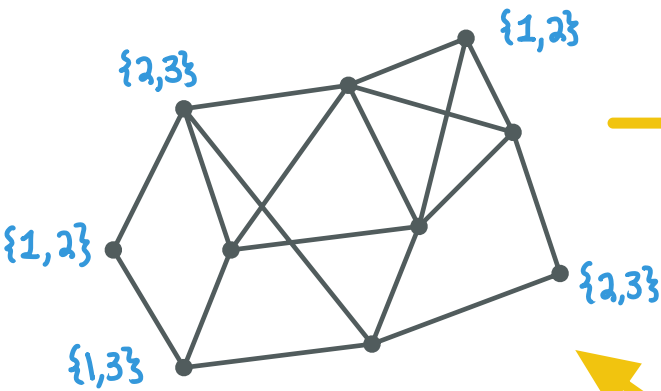
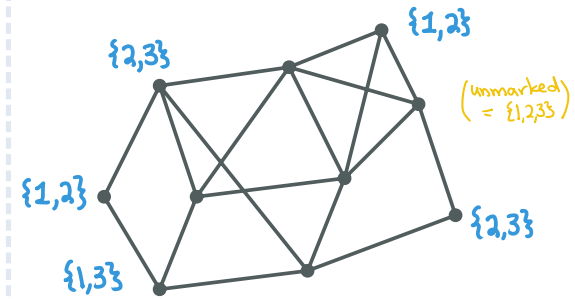
=> subset 3-coloring on



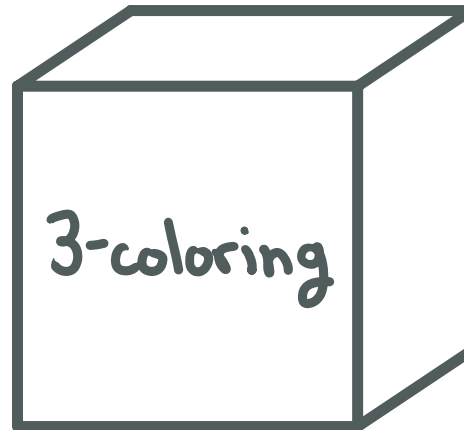
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



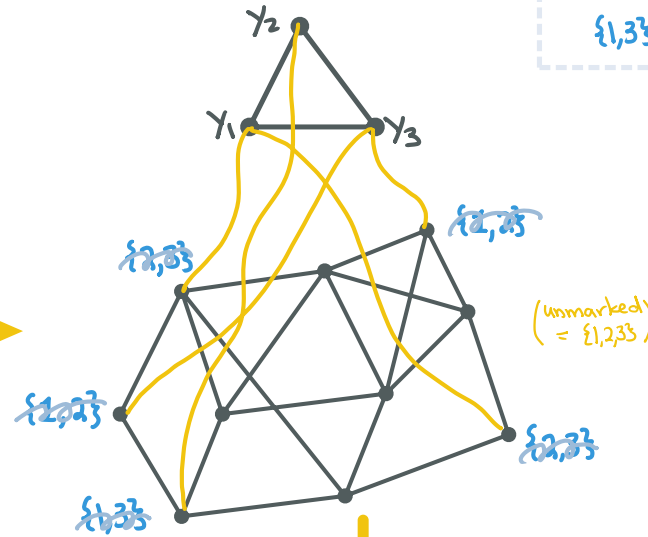
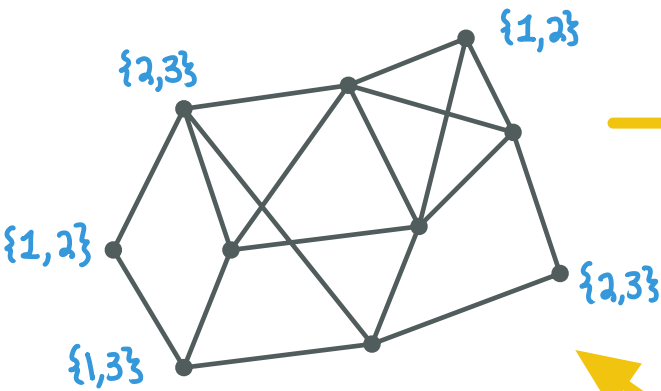
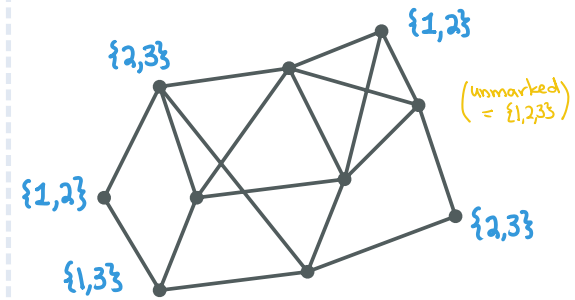
Yes/no



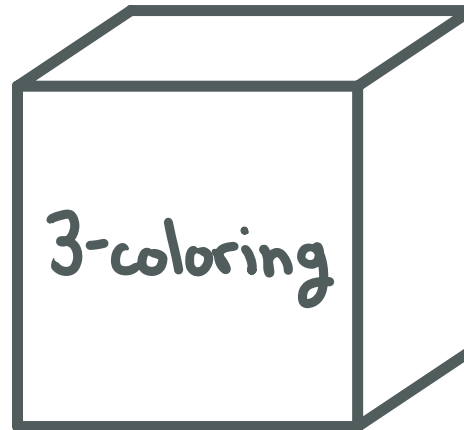
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



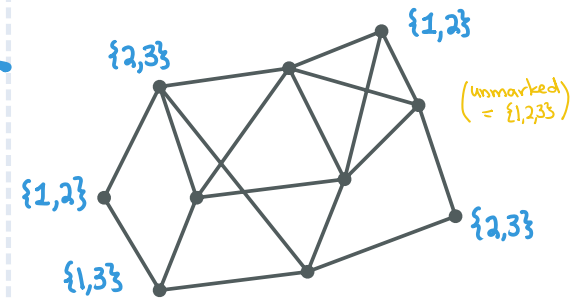
Yes/no



3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

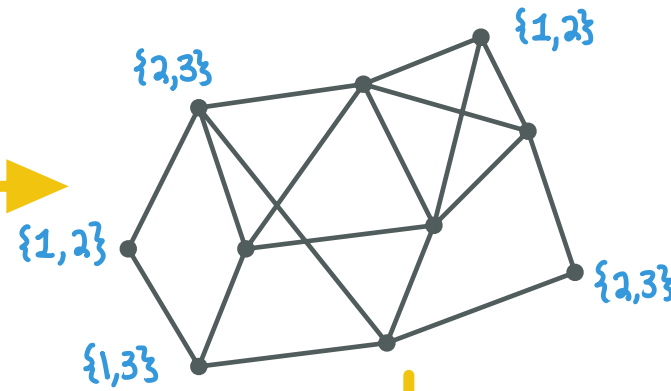
Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$
of colors allowed for v .

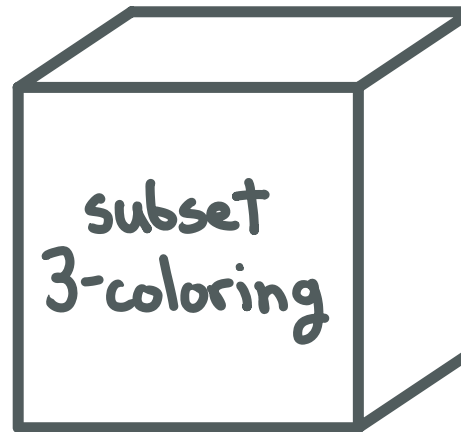


3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



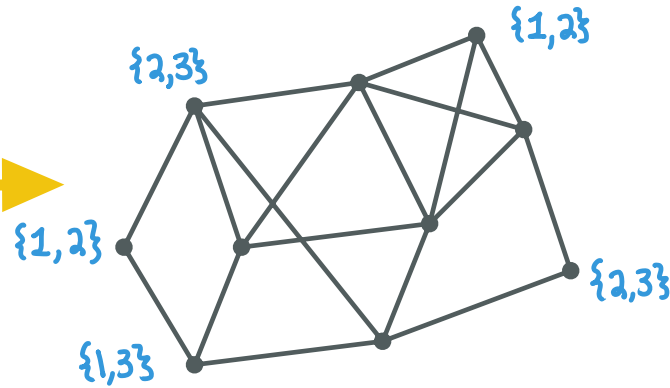
Yes/no



3-SAT

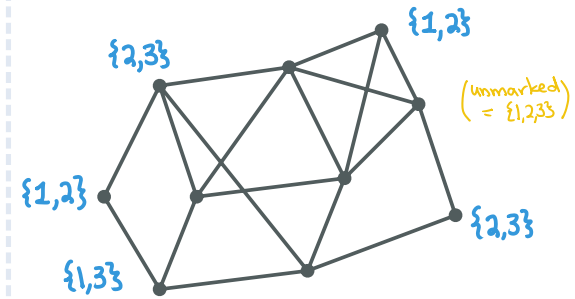
$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$

ideas?



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

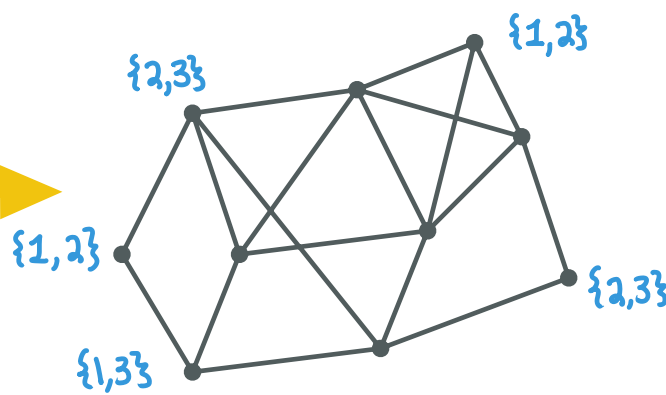


3-SAT

$f(x_1, \dots, x_n) =$

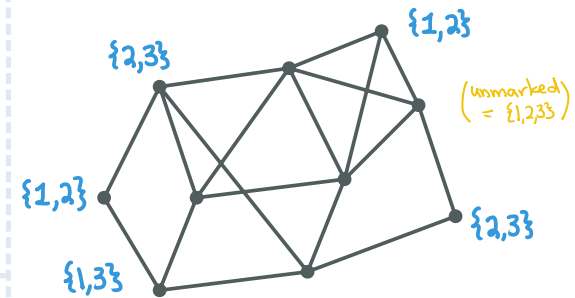
$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$

$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



Convenient to name colors:

"T" = "True"

"F" = "False"

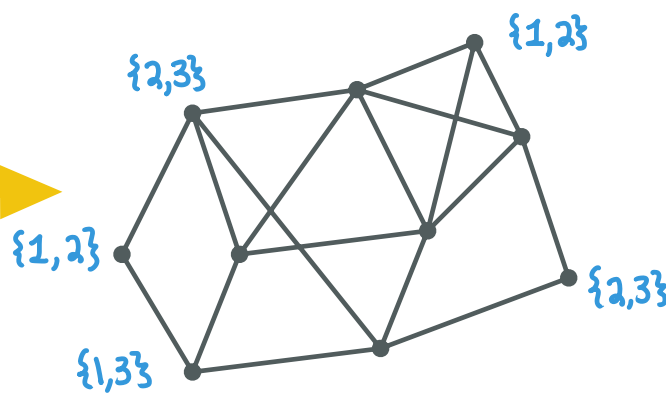
"N" = "Neither"

3-SAT

$$f(x_1, \dots, x_n) =$$

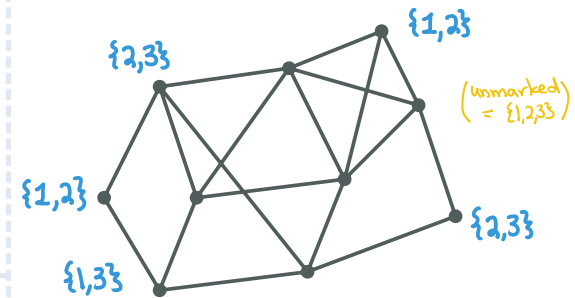
$$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$$

$$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



① variables

x_j

" A_j "

" $\bar{A}_j$ "

{T, F}

{T, F}

name colors:

"T" = "True"

"F" = "False"

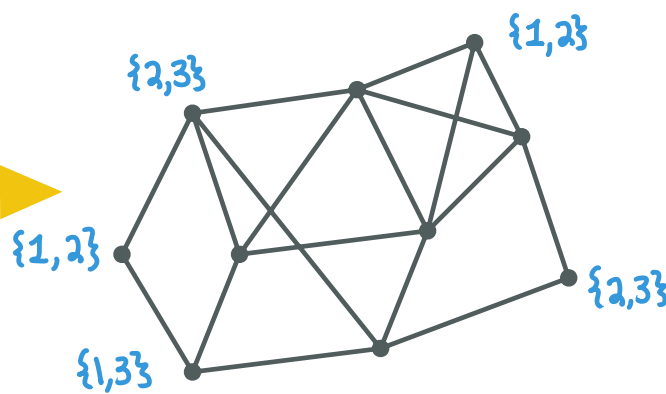
"N" = "Neither"

interpretation: $x_j = A_j.\text{color}$

$\bar{x}_j = \bar{A}_j.\text{color}$

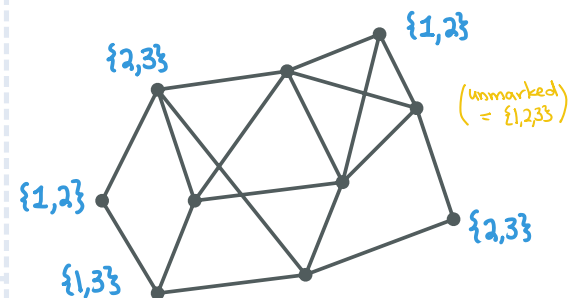
3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



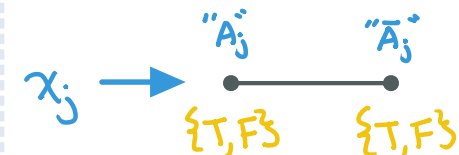
name colors:

"T" = "True"

"F" = "False"

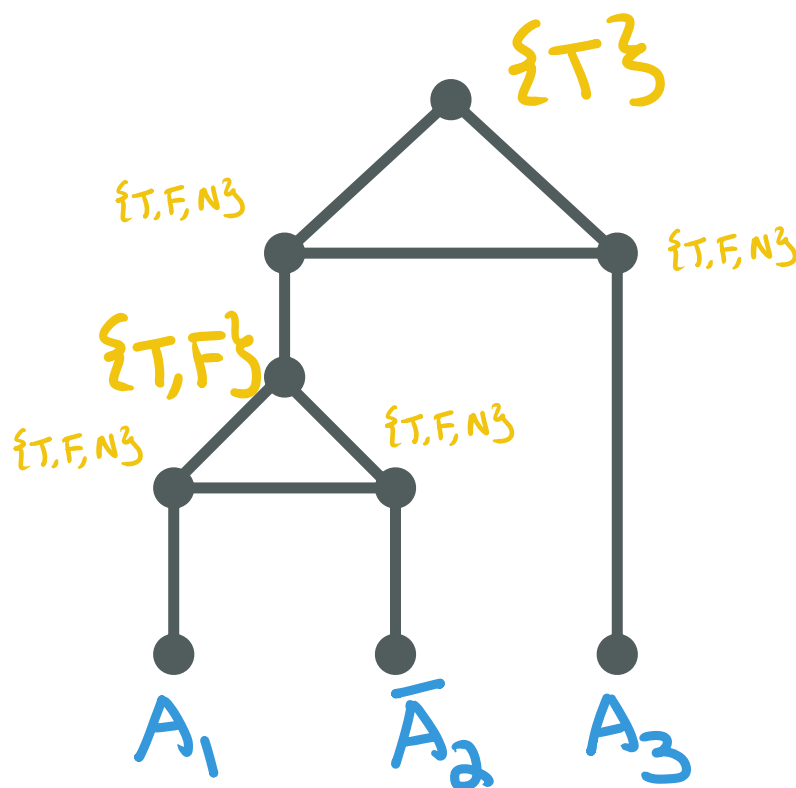
"N" = "Neither"

① variables

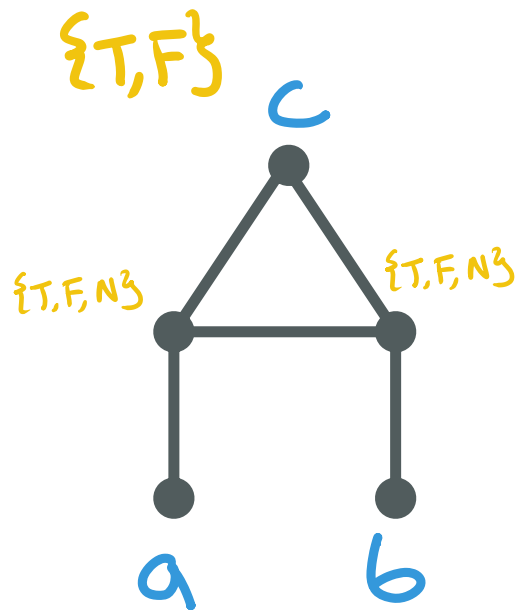


② clauses

$$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$$



Idea: "or-gadget"

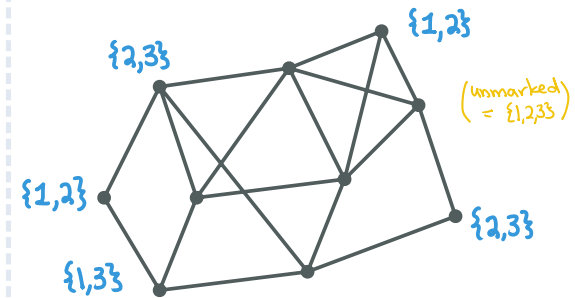


Lemma

$c.\text{color} = \text{True}$
possible iff $a \vee b = \text{False}$

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



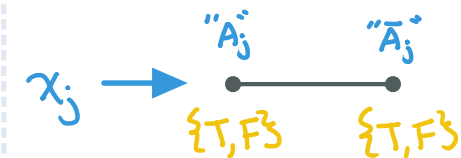
name colors:

"T" = "True"

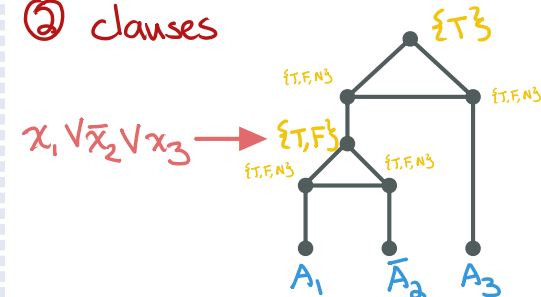
"F" = "False"

"N" = "Neither"

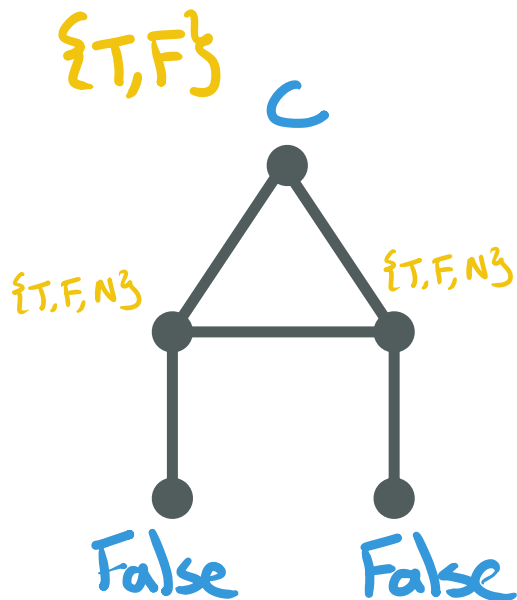
① variables



② clauses

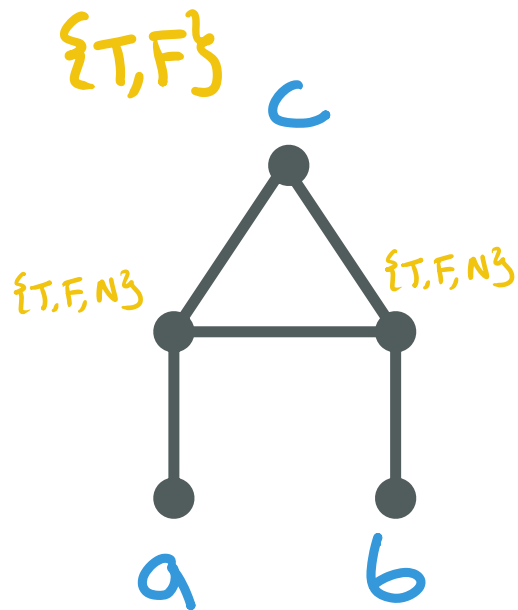


Proof



forces $c = \text{False}$

Idea: "or-gadget"

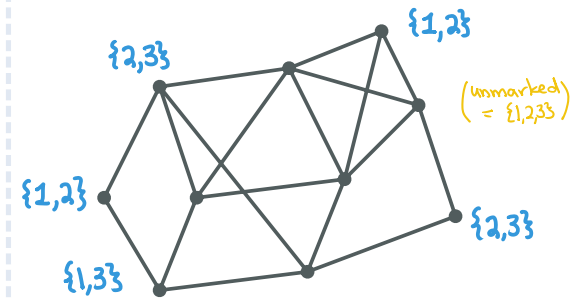


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for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .



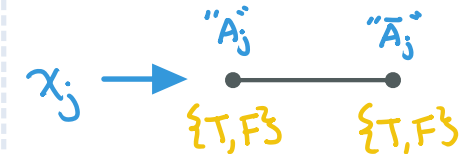
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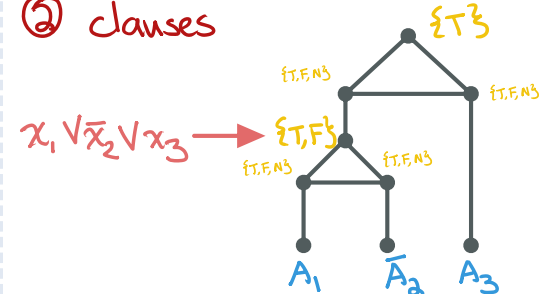
"F" = "False"

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① variables

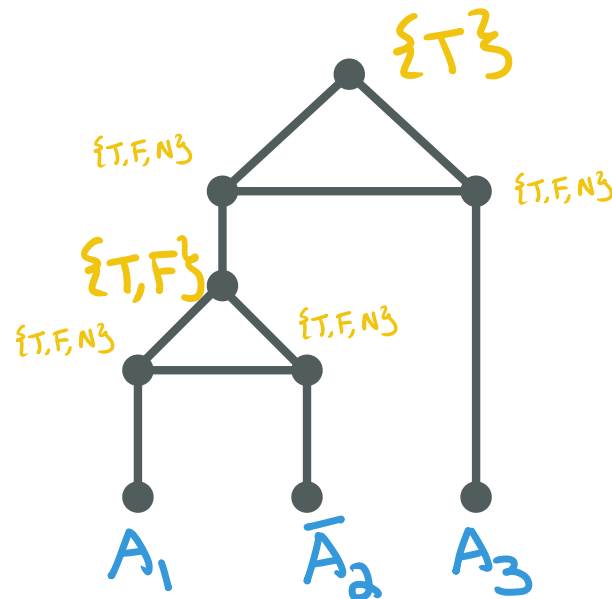


② clauses



$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$

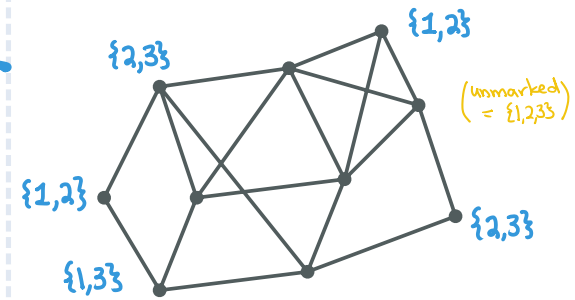
combines
2 V-gadgets



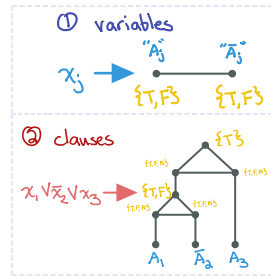
3SAT $\rightarrow$ "subset 3-coloring" $\rightarrow$ 3-coloring

Subset 3-coloring

for each vertex v , subset $S_v \subseteq \{1, 2, 3\}$ of colors allowed for v .

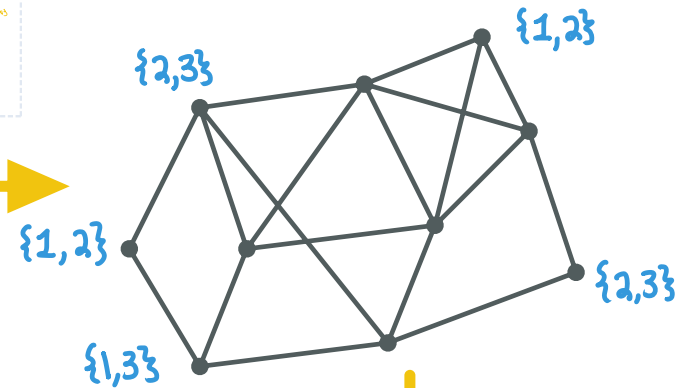


claim: polytime algo for subset 3-coloring
implies polytime algo for 3-SAT

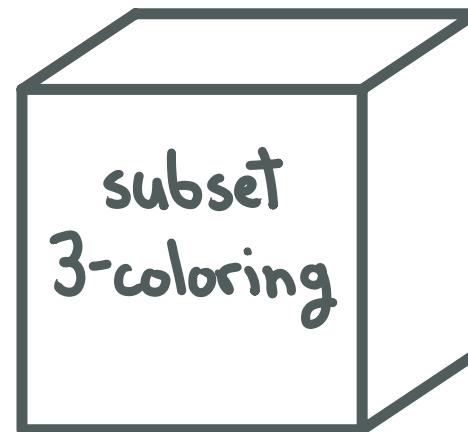


3-SAT

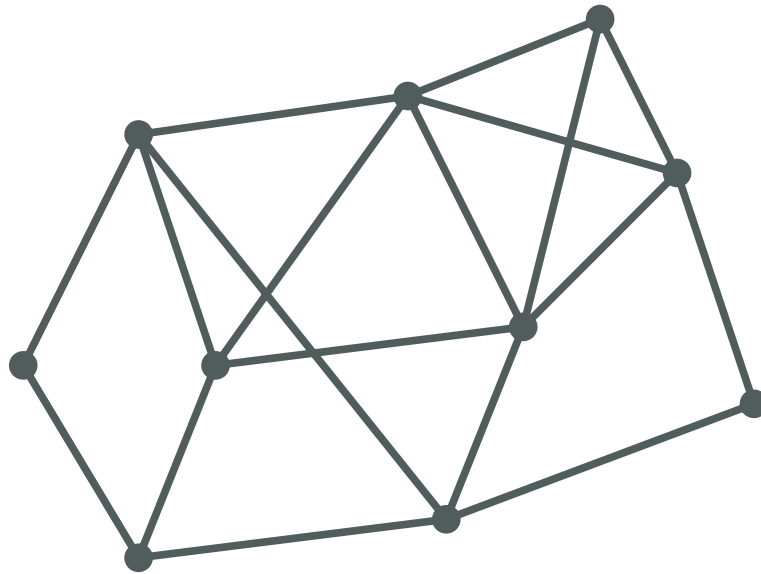
$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Yes/no



Dominating Set



$S \subseteq V$ is dominating if all $v \in V$ either
(a) is in S (b) has a neighbor in S

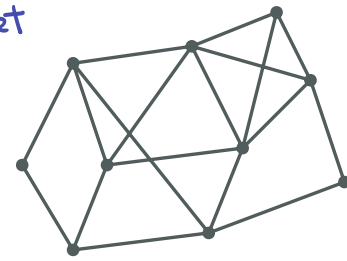
Goal: min cardinality dominating set

Decision version: dom. set w/ $\leq k$ vertices?

Theorem Dom set is NP-Hard ←----- Ideas?

claim: polytime algo for dominating set
implies polytime algo for 3-SAT

Dominating Set



$S \subseteq V$ is dominating if all $v \in V$ either
(a) is in S (b) has a neighbor in S

3-SAT

$$f(x_1, \dots, x_n) = \\ (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge \\ (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



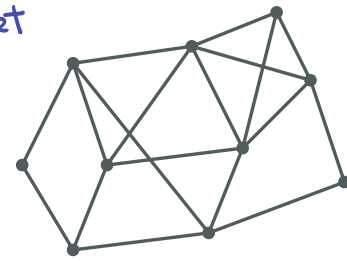
Yes/no



claim: polytime algo for dominating set
implies polytime algo for 3-SAT

Ideas?

Dominating Set



$S \subseteq V$ is dominating if all $v \in V$ either
(a) is in S (b) has a neighbor in S

3-SAT

$f(x_1, \dots, x_n) =$

$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$

$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$

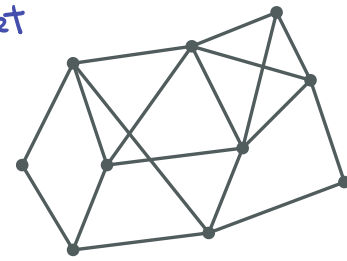


Yes/no



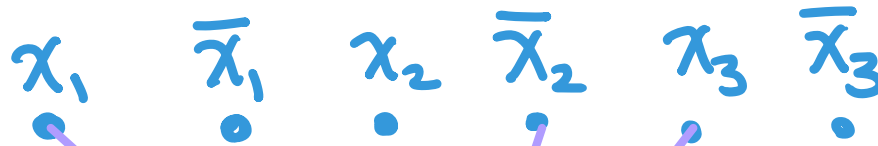
claim: polytime algo for dominating set
implies polytime algo for 3-SAT

Dominating Set



$S \subseteq V$ is dominating if all $v \in V$ either
(a) is in S (b) has a neighbor in S

literals
= vertices



clauses
= vertices

$(x_1 \vee \bar{x}_2 \vee x_3)$

3-SAT

$f(x_1, \dots, x_n) =$
 $(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$
 $(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$

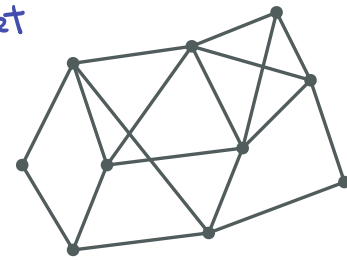
Yes/no



claim: polytime algo for dominating set
implies polytime algo for 3-SAT

$$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$$

Dominating Set



$S \subseteq V$ is dominating if all $v \in V$ either
(a) is in S (b) has a neighbor in S

3-SAT
 $f(x_1, \dots, x_n) =$
 $(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$
 $(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$



Yes/no

