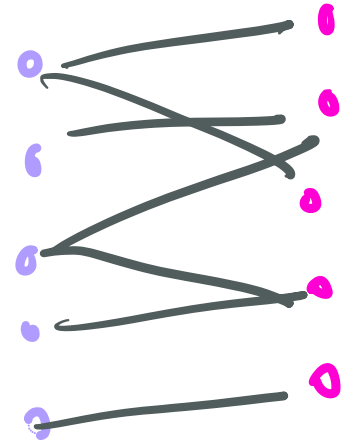
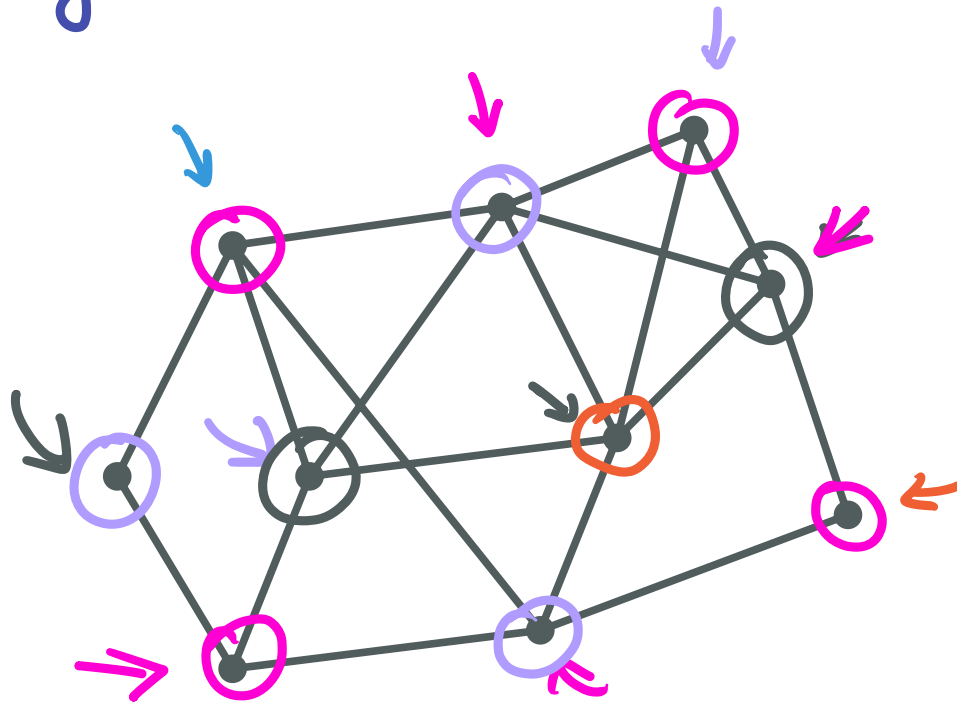


Dominating  
Graph Coloring & ~~Independent~~ Set

# Graph Coloring



Goal: color vertices so that no adjacent vertices have the same color, w/ minimum # of colors.

decision version: does  $k$  colors suffice?

In "k-coloring problem",  $k$  is a fixed constant

Theorem: 3-coloring is NP-hard.

Theorem: 3-coloring is NP-hard.

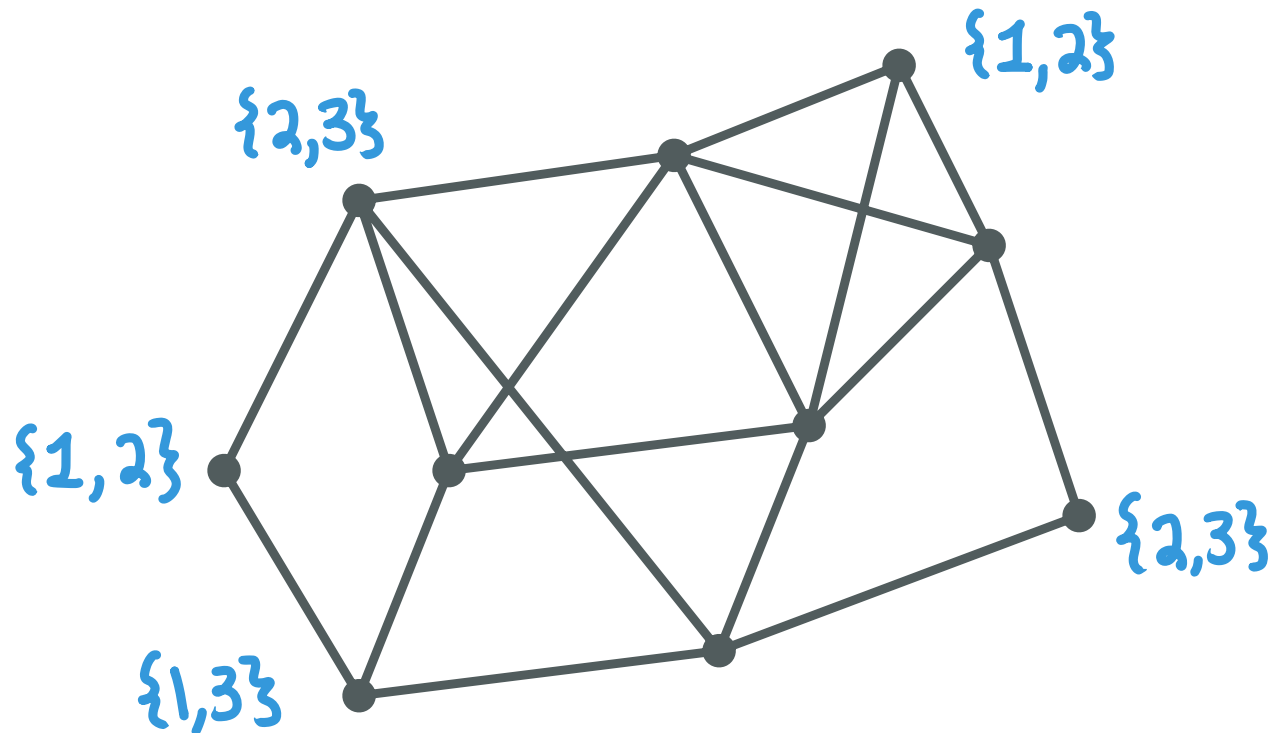
High-level approach:

3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

---

Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .

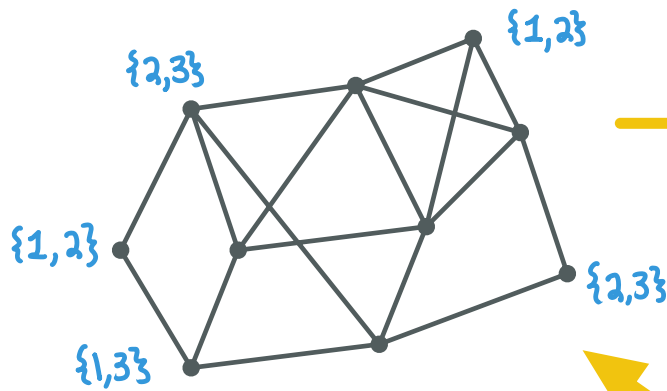
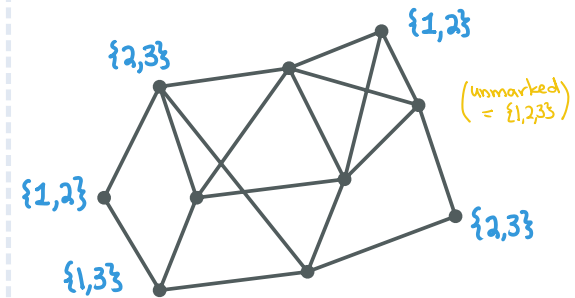


(unmarked)  
=  $\{1, 2, 3\}$

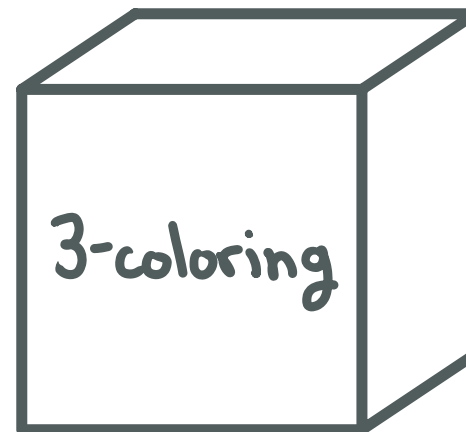
3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$   
of colors allowed for  $v$ .



Yes/no

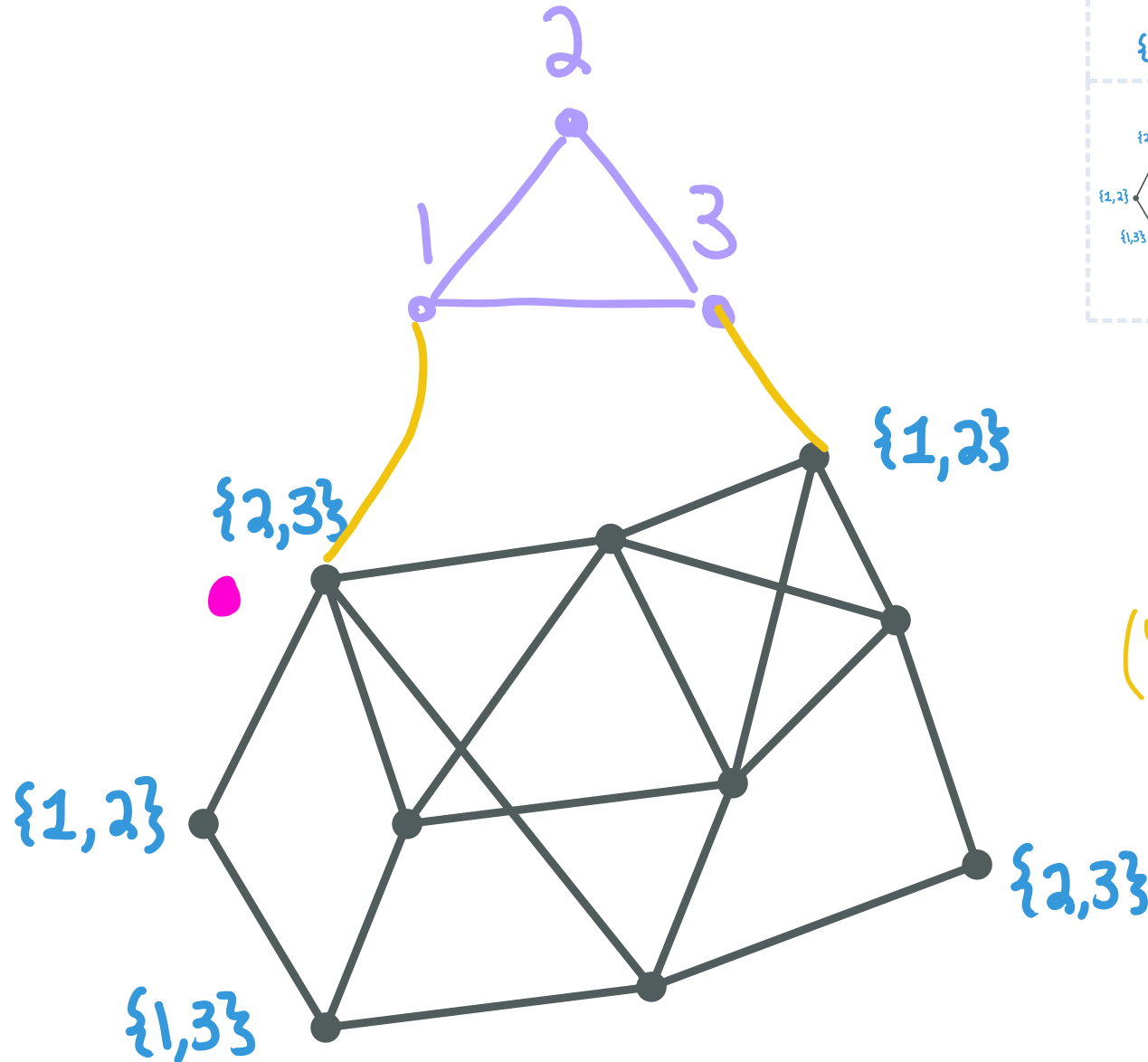




3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

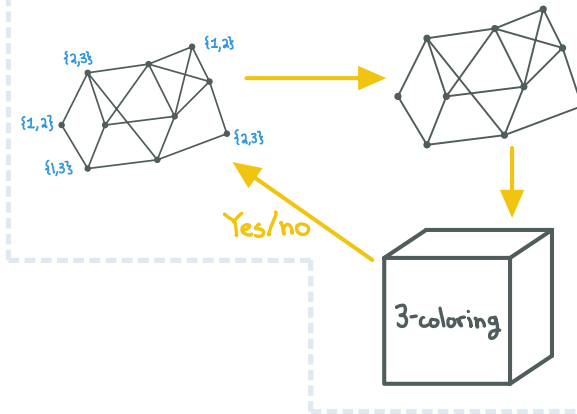
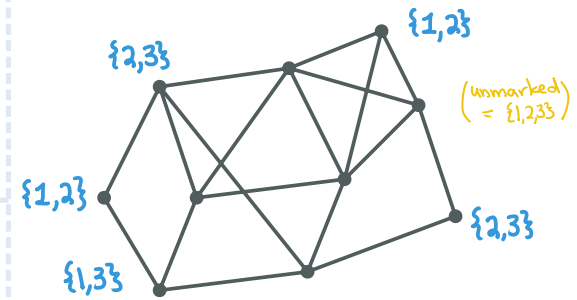
claim: polytime algo for 3-coloring implies  
polytime algo for subset 3-coloring.

ideas?



Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1,2,3\}$   
of colors allowed for  $v$ .

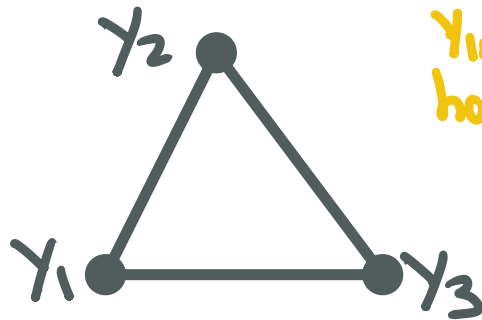


(unmarked)  
= {1,2,3}

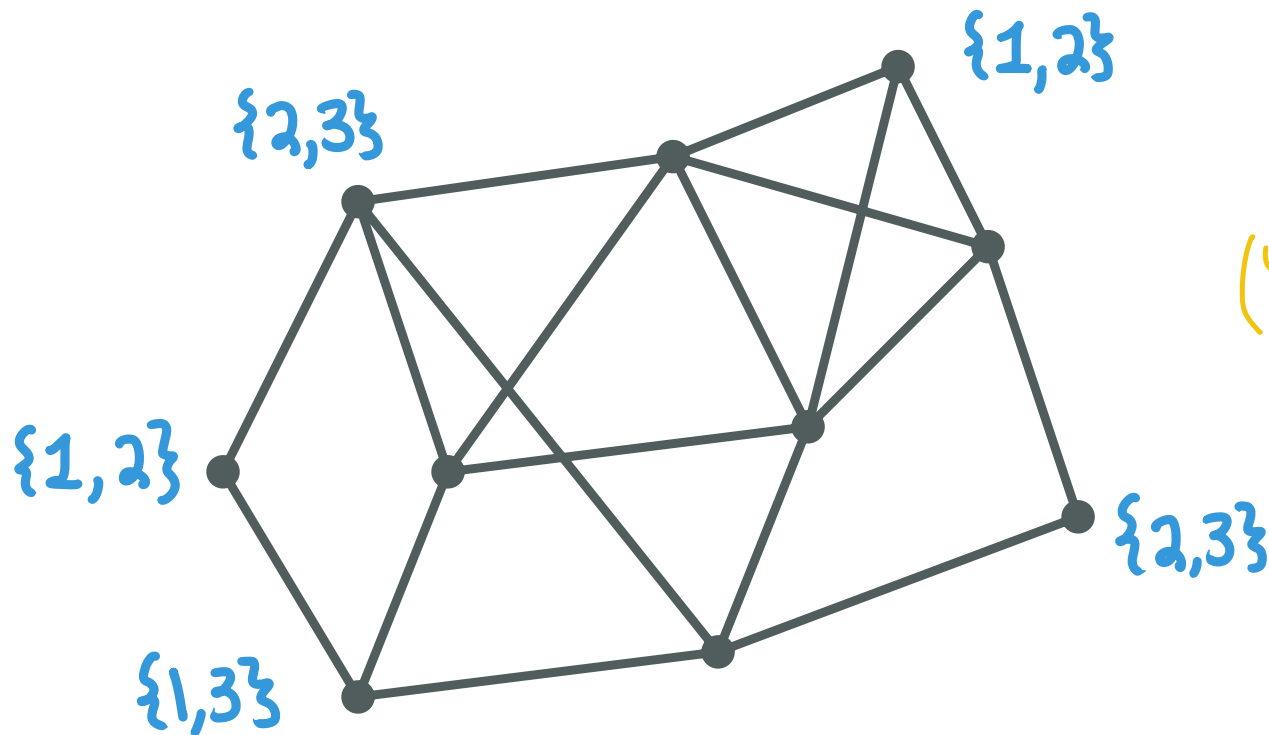
3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

claim: polytime algo for 3-coloring implies  
polytime algo for subset 3-coloring.

"color palette"

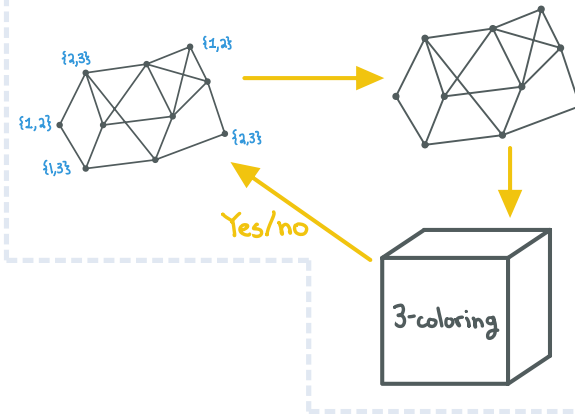
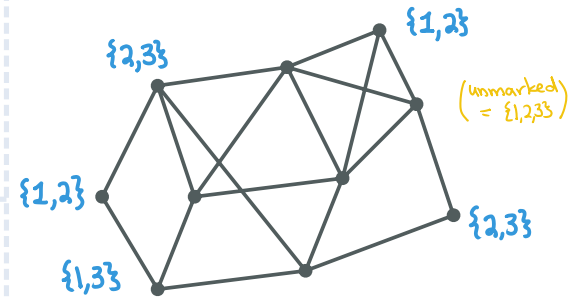


$\gamma_1, \gamma_2, \gamma_3$  must  
have distinct  
colors



Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1,2,3\}$   
of colors allowed for  $v$ .

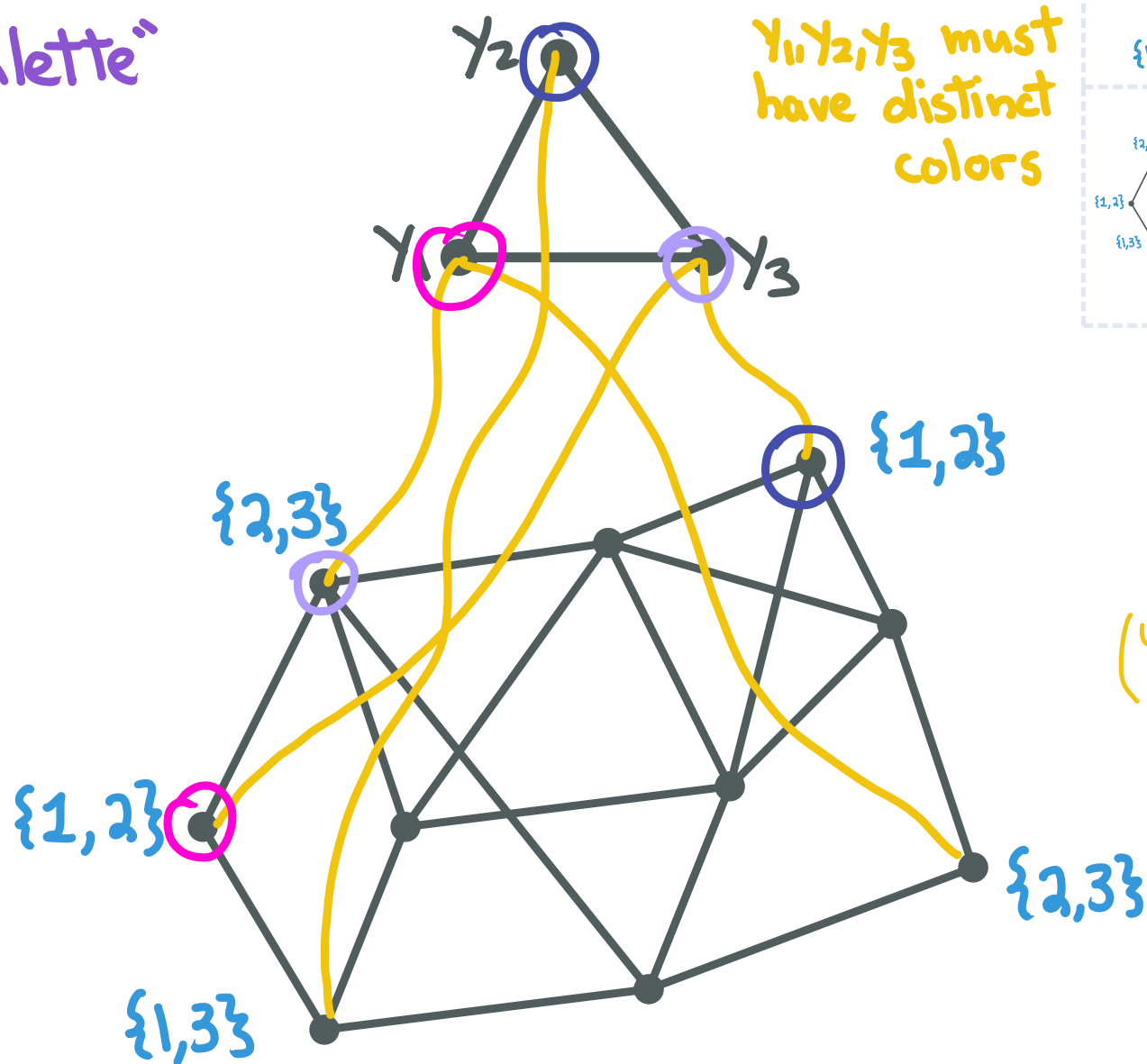


(unmarked)  
=  $\{1,2,3\}$

3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

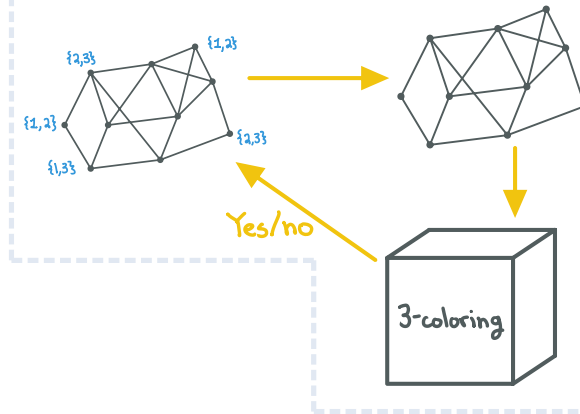
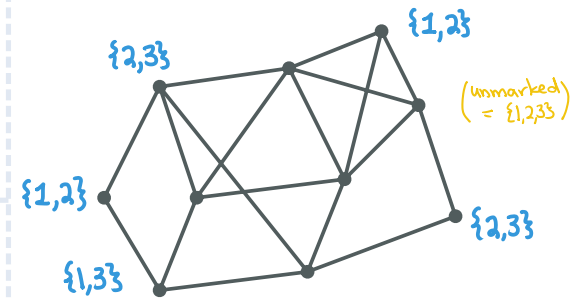
claim: polytime algo for 3-coloring implies  
polytime algo for subset 3-coloring.

"color palette"



Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1,2,3\}$   
of colors allowed for  $v$ .



(unmarked)  
=  $\{1,2,3\}$

3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

claim: polytime algo for 3-coloring implies  
polytime algo for subset 3-coloring.

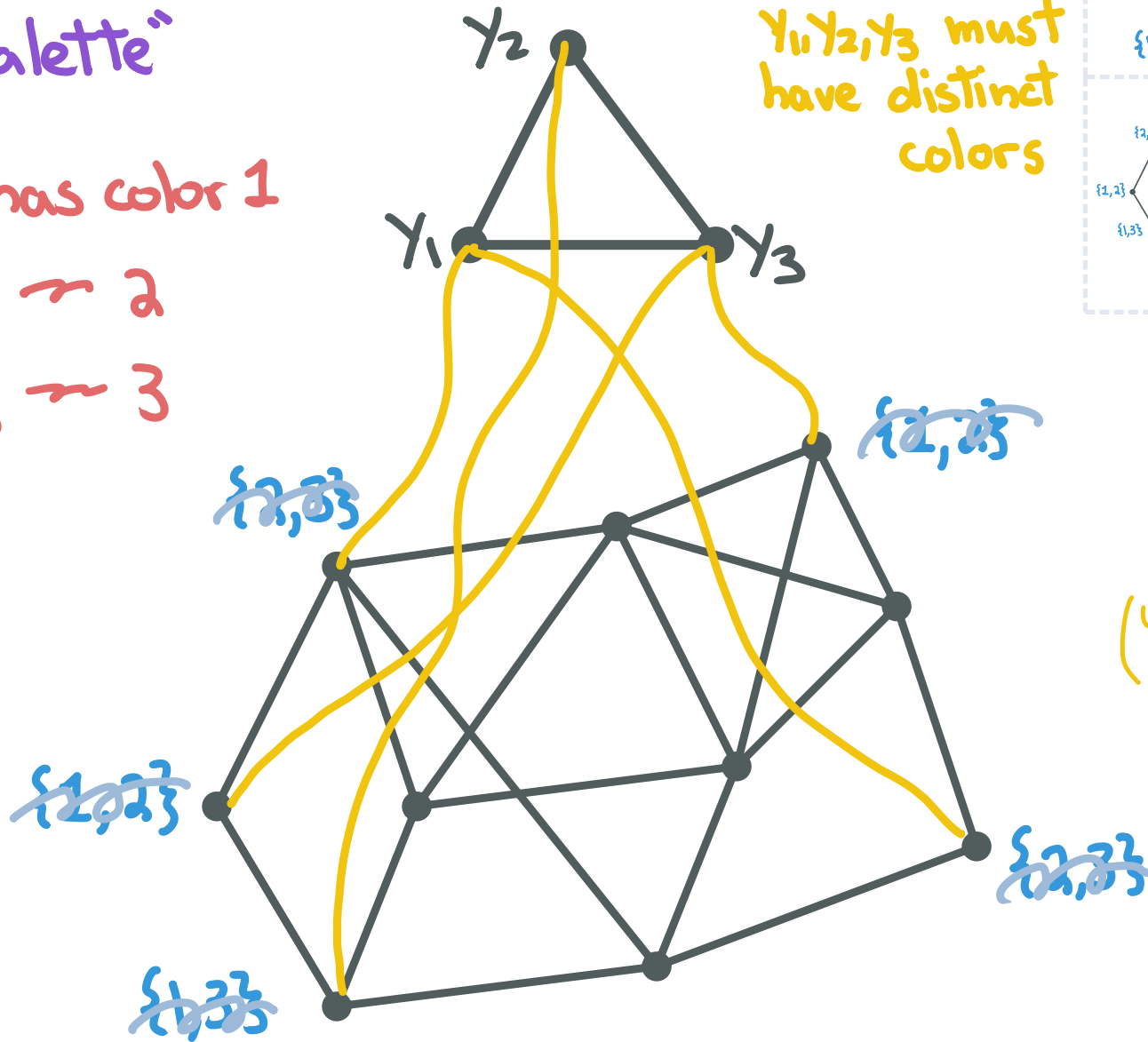
"color palette"

wlog  $\gamma_1$  has color 1

$\gamma_2 \rightsquigarrow 2$

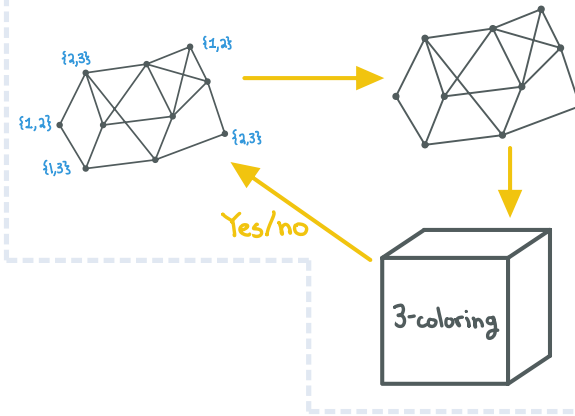
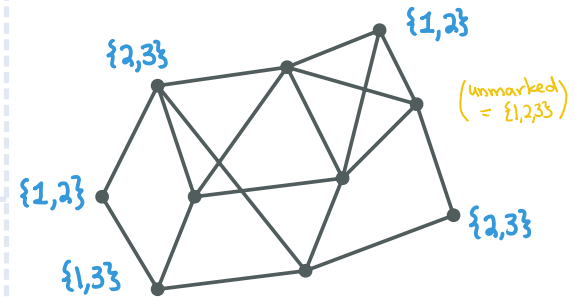
$\gamma_3 \rightsquigarrow 3$

$\gamma_1, \gamma_2, \gamma_3$  must  
have distinct  
colors



Subset 3-coloring

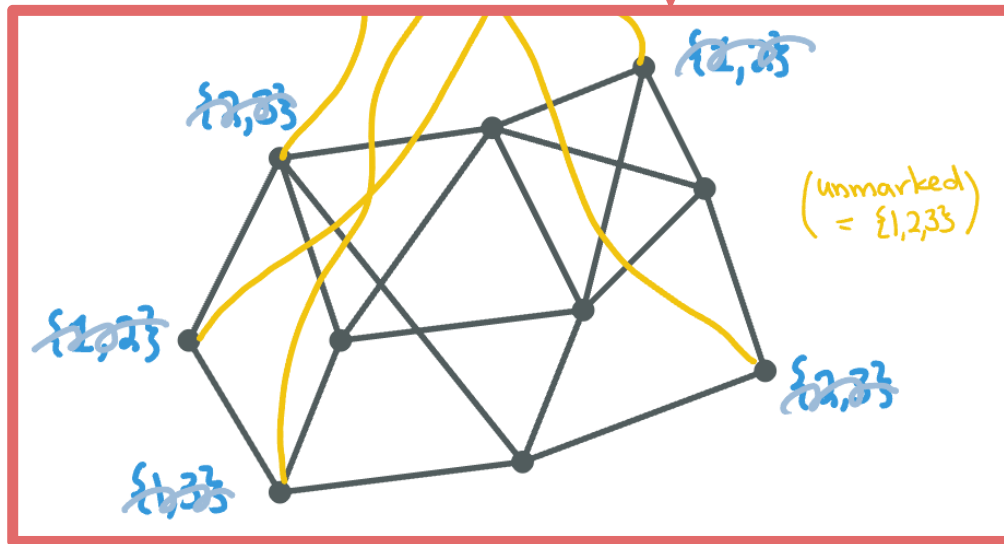
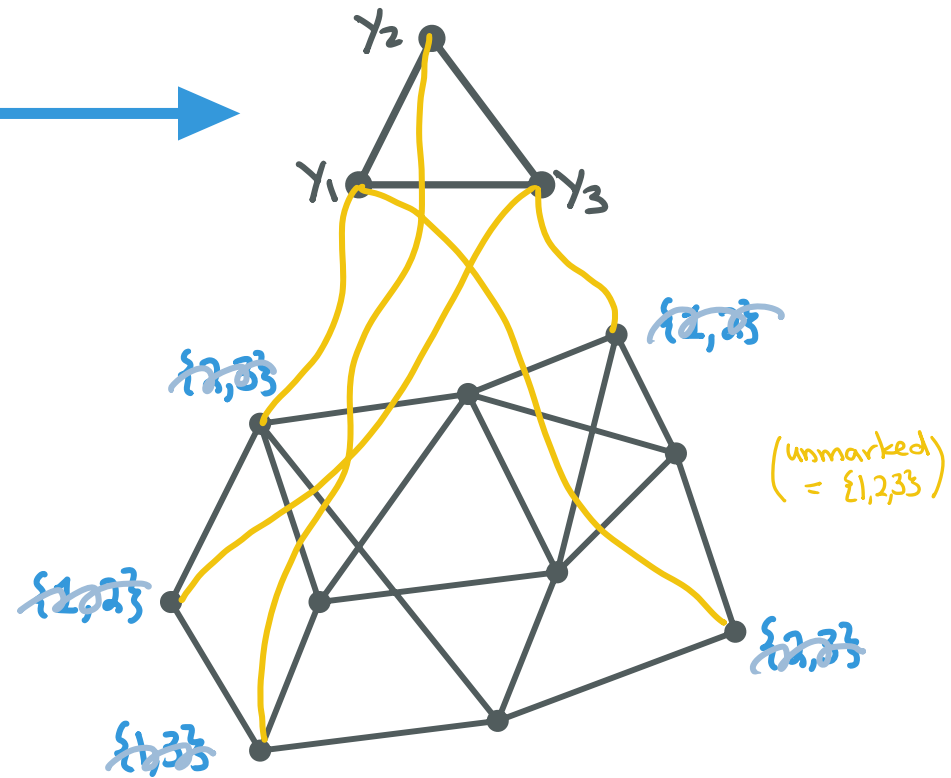
for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$   
of colors allowed for  $v$ .



(unmarked)  
=  $\{1, 2, 3\}$

3-coloring on

=> subset 3-coloring on

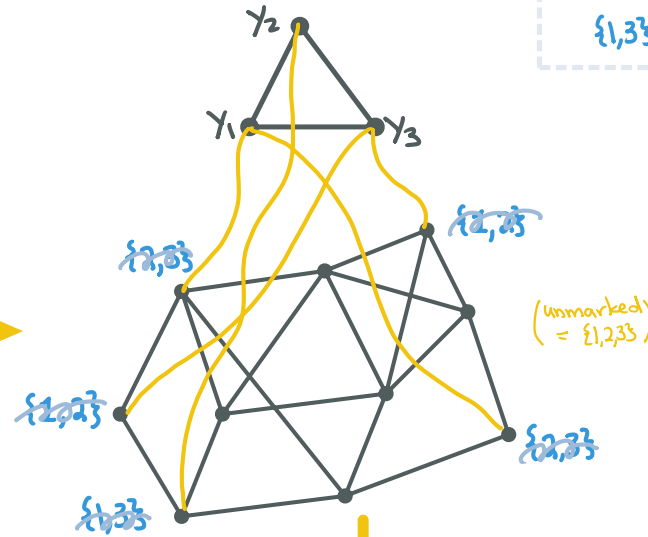
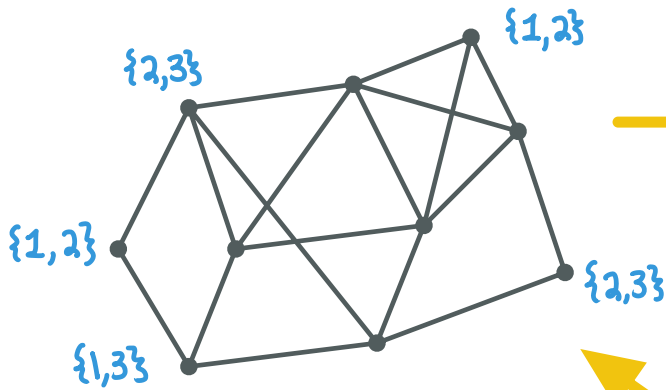
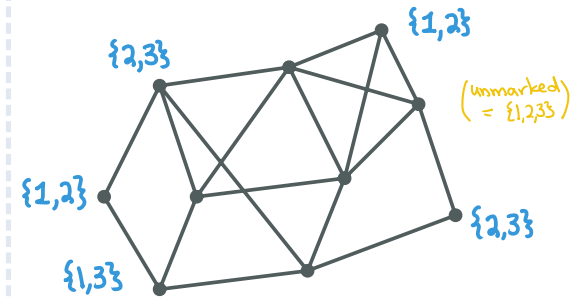


3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

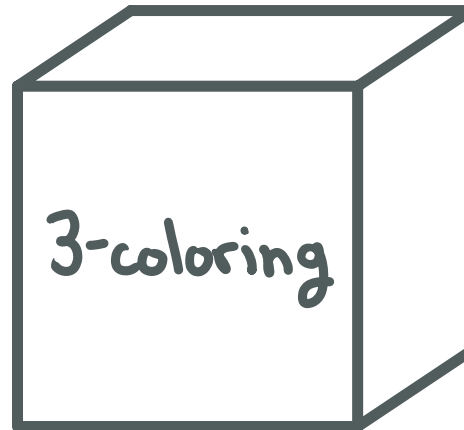
claim: polytime algo for 3-coloring implies  
polytime algo for subset 3-coloring.

Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$   
of colors allowed for  $v$ .



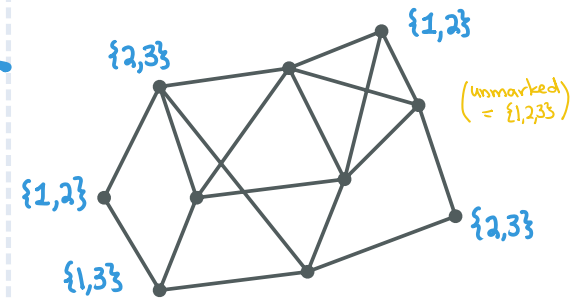
Yes/no



3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

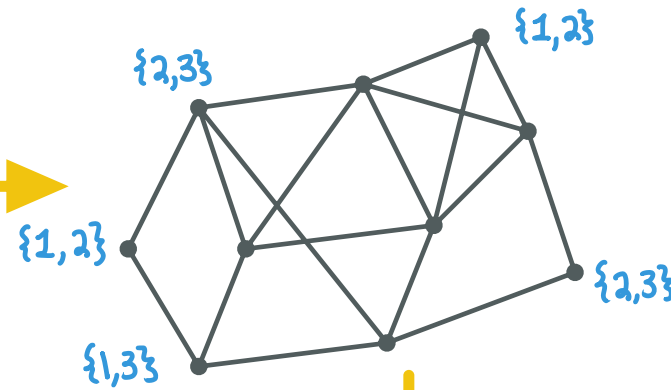
Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$   
of colors allowed for  $v$ .

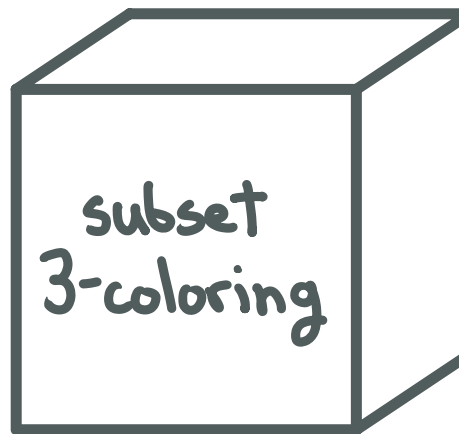


3-SAT

$$f(x_1, \dots, x_n) = \\ (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge \\ (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$

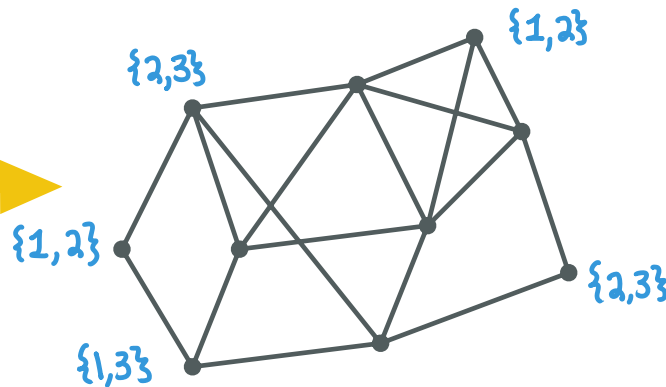


Yes/no



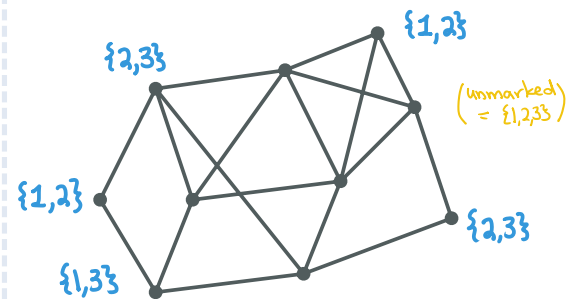
# 3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



## Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



ideas?

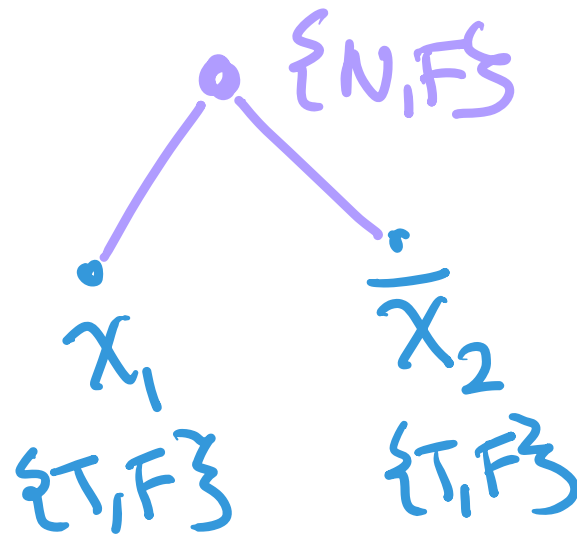
colors = {True, False, nonsense}

{True, False}

$x_i$  —  $\bar{x}_i$

{True, False}

$(x_1 \vee \bar{x}_2)$



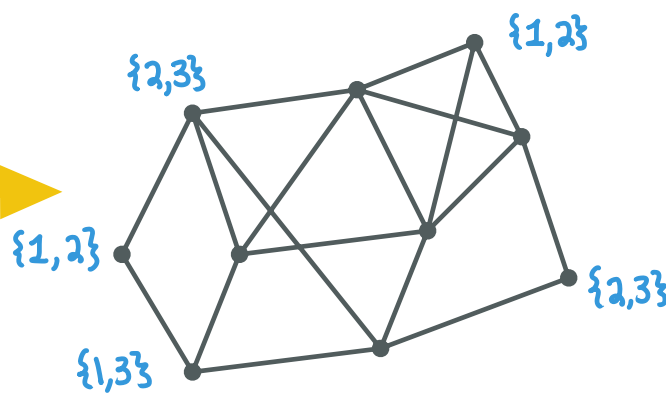


# 3-SAT

$f(x_1, \dots, x_n) =$

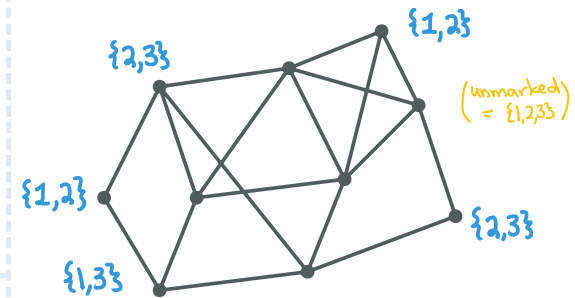
$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$

$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$



## Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



Convenient to name colors:

"T" = "True"

"F" = "False"

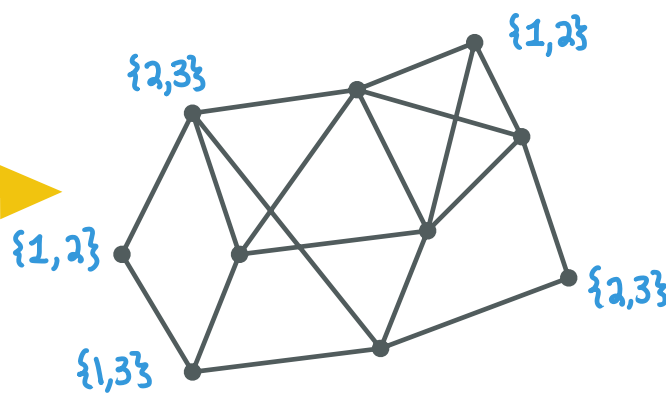
"N" = "Neither"

# 3-SAT

$$f(x_1, \dots, x_n) =$$

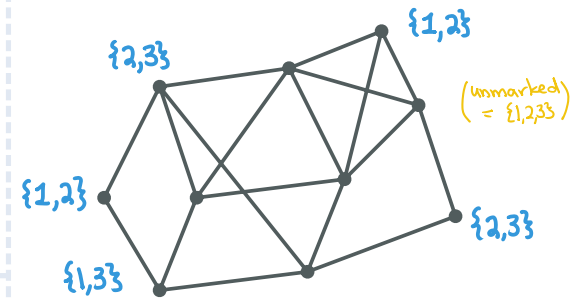
$$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$$

$$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



## Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



① variables

$x_j$

" $A_j$ "

" $\bar{A}_j$ "

$\{T, F\}$

$\{T, F\}$

name colors:

"T" = "True"

"F" = "False"

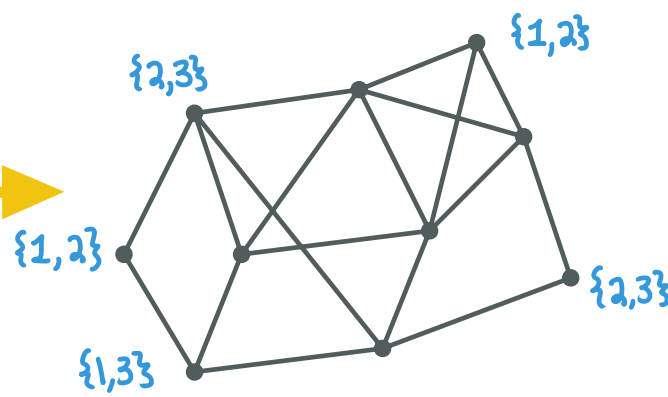
"N" = "Neither"

interpretation:  $x_j = A_j.\text{color}$

$\bar{x}_j = \bar{A}_j.\text{color}$

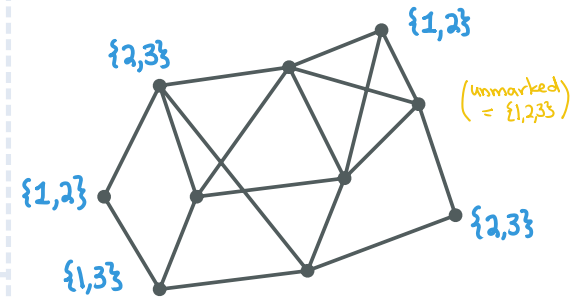
# 3-SAT

$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



## Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



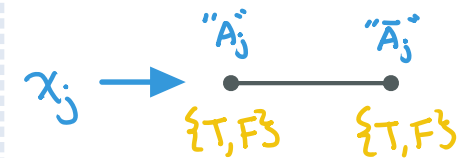
name colors:

"T" = "True"

"F" = "False"

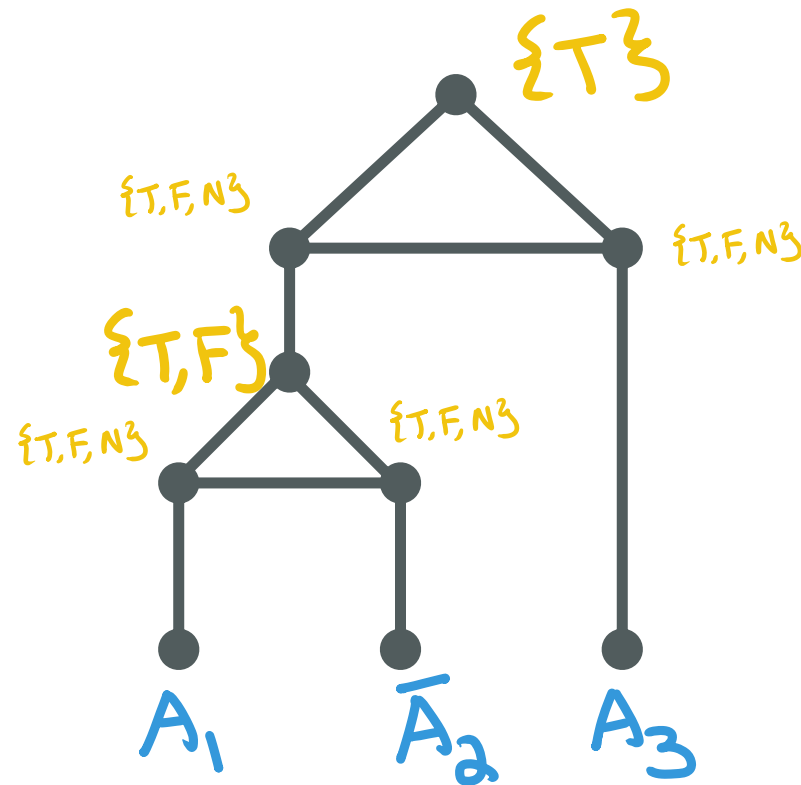
"N" = "Neither"

① variables

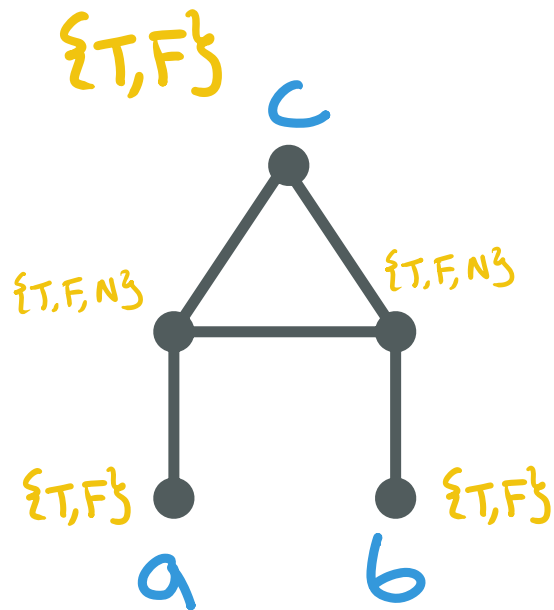


② clauses

$$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$$



# Idea: "or-gadget"



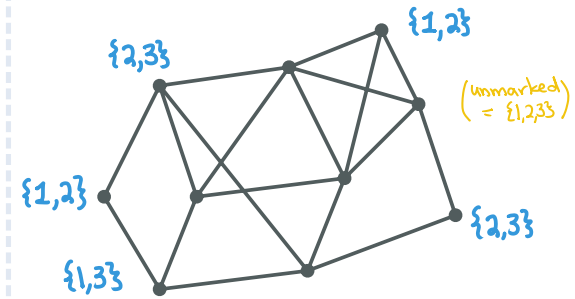
Lemma

$c.\text{color} = \text{true}$   
possible iff  $a \vee b = \text{True}$

$$c = a \vee b$$

Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



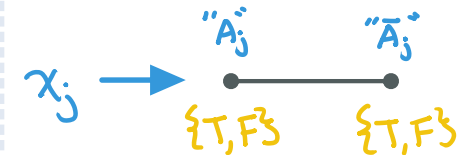
name colors:

"T" = "True"

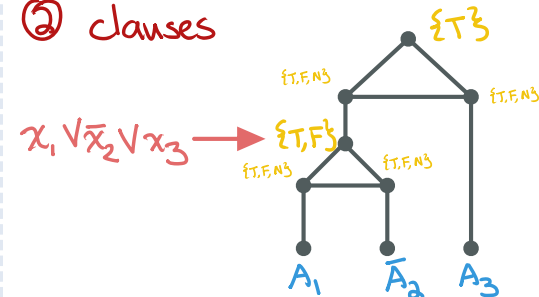
"F" = "False"

"N" = "Neither"

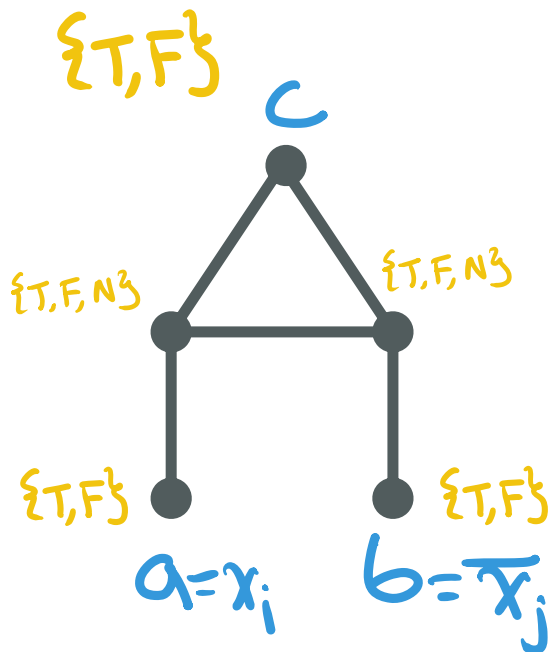
① variables



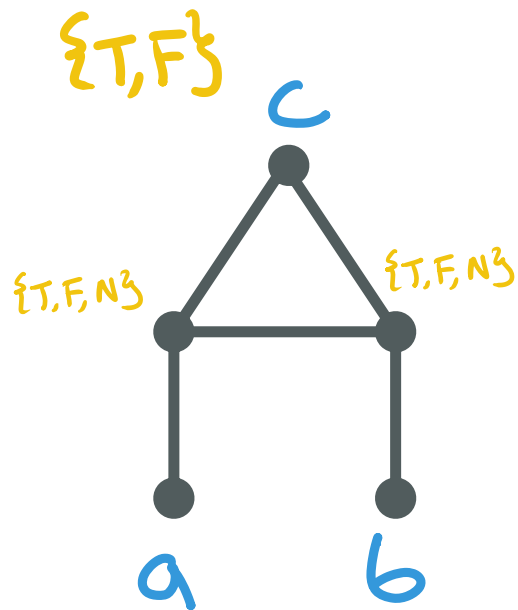
② clauses



Proof



# Idea: "or-gadget"

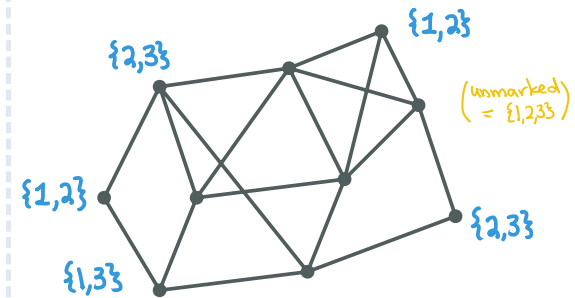


## Lemma

$c.\text{color} = \text{true}$   
possible iff  $a \vee b = \text{True}$

## Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .



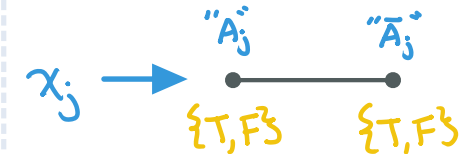
## name colors:

"T" = "True"

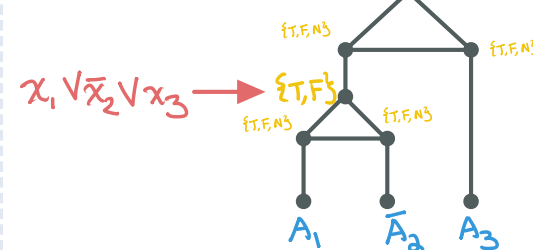
"F" = "False"

"N" = "Neither"

## ① variables

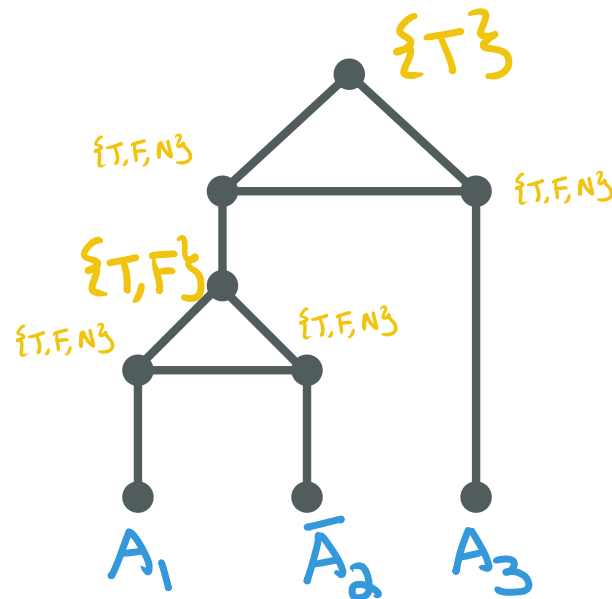


## ② clauses



$$x_1 \vee \bar{x}_2 \vee x_3 \Rightarrow$$

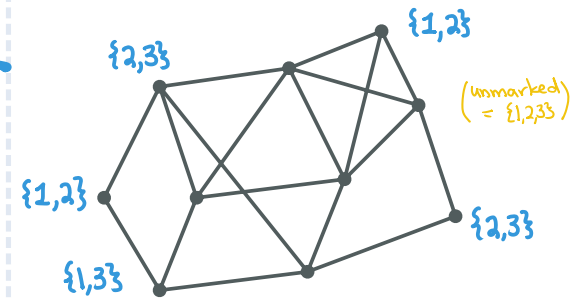
combines  
2 v-gadgets



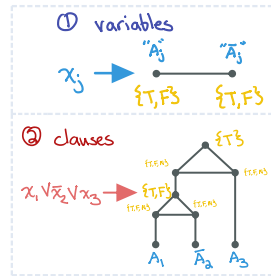
3SAT  $\rightarrow$  "subset 3-coloring"  $\rightarrow$  3-coloring

Subset 3-coloring

for each vertex  $v$ , subset  $S_v \subseteq \{1, 2, 3\}$  of colors allowed for  $v$ .

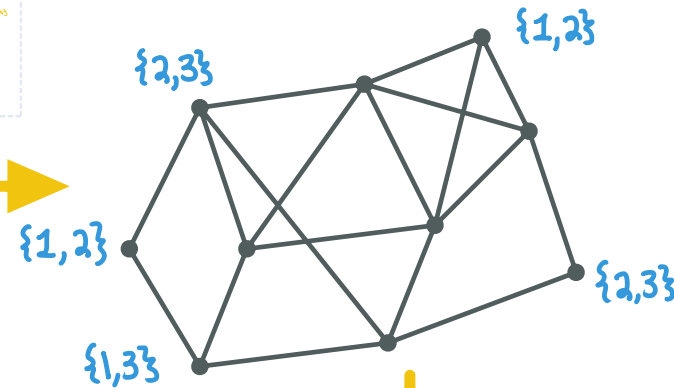


claim: polytime algo for subset 3-coloring  
implies polytime algo for 3-SAT

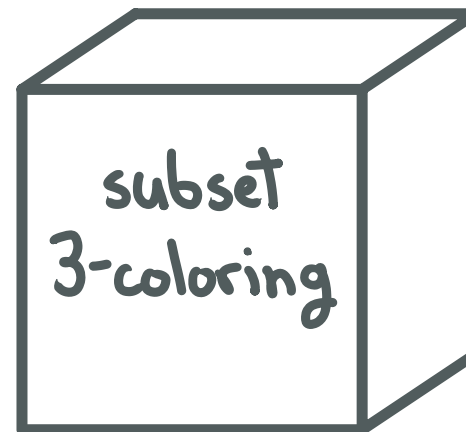


3-SAT

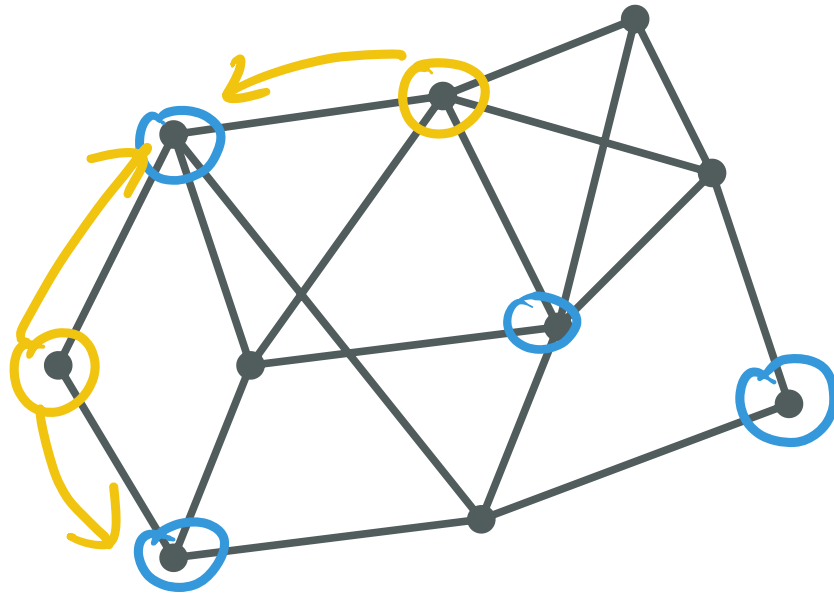
$$f(x_1, \dots, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Yes/no



# Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

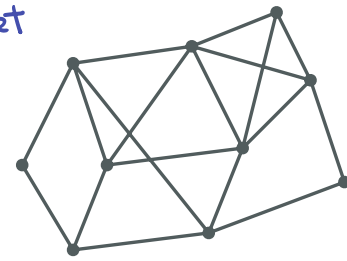
Goal: min cardinality dominating set

Decision version: dom. set w/  $\leq k$  vertices?

Theorem Dom set is NP-Hard ←----- Ideas?

claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

3-SAT

$$f(x_1, \dots, x_n) = \\ (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge \\ (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



Yes/no

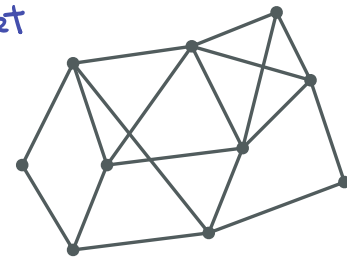




claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

Ideas?

Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

3-SAT

$f(x_1, \dots, x_n) =$

$(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$

$(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$



Yes/no

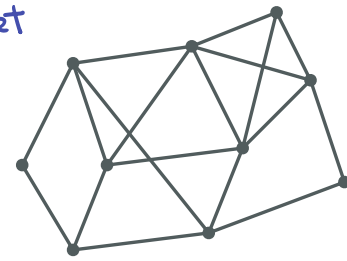


claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

literals  
= vertices

clauses  
= vertices

Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

3-SAT

$$f(x_1, \dots, x_n) = \\ (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge \\ (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$

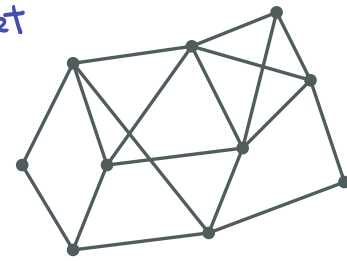


Yes/no



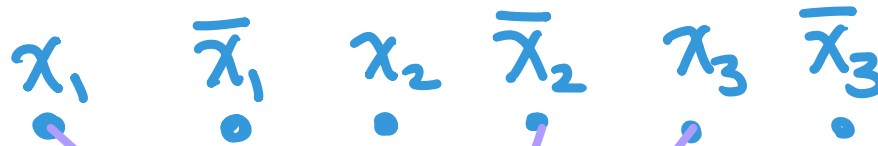
claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

literals  
= vertices



clauses  
= vertices

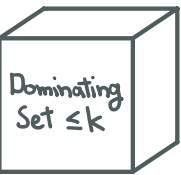
$$(x_1 \vee \bar{x}_2 \vee x_3)$$

3-SAT

$$f(x_1, \dots, x_n) = \\ (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge \\ (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$$



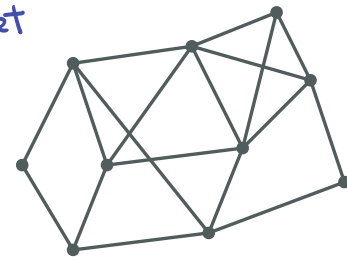
Yes/no



claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

$$(a \vee b \vee c) \wedge (b \vee \bar{c} \vee \bar{d}) \wedge (\bar{a} \vee c \vee d) \wedge (a \vee \bar{b} \vee \bar{d})$$

Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

3-SAT  
 $f(x_1, \dots, x_n) =$   
 $(\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge$   
 $(\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \dots$

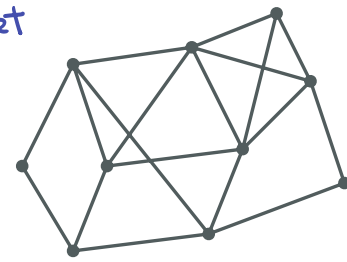


Yes/no



claim: polytime algo for dominating set  
implies polytime algo for 3-SAT

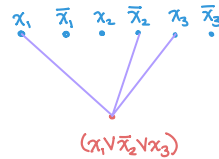
Dominating Set



$S \subseteq V$  is dominating if all  $v \in V$  either  
(a) is in  $S$  (b) has a neighbor in  $S$

3-SAT

$$f(x_1, \neg, x_n) = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge \neg$$



Yes/no

